1 The impact of organic nitrates on summer ozone formation in Shanghai,

2 China

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20 Abstract

Organic nitrates serve as important secondary oxidation products in the atmosphere, playing a crucial role in the atmospheric radical cycles and influencing the production of secondary pollutants (ozone

(O₃) and secondary organic aerosols). However, field measurements of organic nitrates are scarce in
 China, and a comprehensive localized mechanism for organic nitrates is absent, hindering effective
 pollution mitigation strategies. In this study, we conducted measurements of ambient gaseous organic

pointion intigation strategies. In this study, we conducted measurements of anotent gaseous organic nitrates and examined their effects on local O_3 production at a polluted urban site in eastern China

during summer. The average daytime concentrations of alkyl nitrates (ANs) and peroxy nitrates (PNs)
throughout the campaign were 0.5±0.3 ppbv and 0.9±0.7 ppbv, respectively, with peaks reaching up to

- 1.6 ppbv and 3.6 ppbv. An observation-constrained box model, incorporating an updated mechanism
 for organic nitrates, was employed to assess the environmental impact of these compounds. The model
- results indicated that PNs production inhibited the daytime O_3 production by 16% (0.8 ppbv/h), which
- 32 is relatively low compared to previous studies. Furthermore, scenario analyses revealed that production
- yields (α) of ANs would alter the response of O₃ formation to precursors due to varying compositions
- of volatile organic compounds. Our results suggest that blind pollution control may cause ineffective
- 35 pollution prevention and highlight the necessity of a thorough understanding on organic nitrate

36 chemistry for local O_3 control strategy.

37 **1. Introduction**

Tropospheric ozone, as an important oxidant, influences the atmospheric lifetimes of trace gases 38 through its involvement in photochemical processes, thereby playing a crucial role in climate change 39 40 and atmospheric chemistry. There is a broad consensus that high near-surface ozone concentrations are hazardous to human health and environmental ecosystems, particularly affecting the human respiratory 41 and cardiovascular systems, and result in decreased yields of various crops (Ashmore, 2005; Xue and 42 Zhang, 2023). A scientific assessment of tropospheric ozone is essential for the development of public 43 health policies and for addressing long-term air pollution challenges (Monks et al., 2015). Primary 44 pollutants, such as nitrogen oxides (NO_x) and volatile organic compounds (VOCs), participate in the 45 formation of HO_x radicals ($RO_x = RO_2 + HO_2 + OH$) cycles and NO_x cycles under sunlight, leading to 46 47 the continuous production of ozone as a secondary oxidation product within these cycles. In addition to the reaction between OH and NO₂ that produces HNO₃ as part of chain termination reactions, the 48 interaction of RO₂ and NO that produces organic nitrates is of increasing concern (Present et al., 2020). 49 The atmospheric production of organic nitrates consumes both NO_x and RO₂. Therefore, the chemistry 50 of organic nitrates will significantly influence the prevention and control of ozone, with NO_x and VOCs 51 serving as independent variables. 52

53 Both anthropogenic activities and natural processes contribute to the emissions of NO_x and VOCs, which produce RO2 in the presence of oxidants such as OH. Subsequently, RO2 reacts with NO to yield 54 NO₂ and RO. After that, NO₂ photolysis produces O₃, while RO is converted into HO₂ through an 55 isomerization reaction, thereby forming the ozone production cycle. Within the cycle, a branching 56 reaction between RO₂ and NO leads to the formation of alkyl nitrates (RONO₂, ANs), while RO₂ may 57 also react with NO₂ to generate peroxy nitrates (RO₂NO₂, PNs). Given that PNs are prone to thermal 58 dissociation near the surface (Roberts and Bertman, 1992), they can influence O₃ production by 59 modifying the availability of NO_x and RO_x. Due to the competitive production dynamics between PNs 60 and O₃, numerous field observations and model simulations have been conducted to investigate the 61 impact of peroxyacetyl nitrate (PAN) on O₃ production (Liu et al., 2021; Zeng et al., 2019; Zhang et 62 al., 2020). For ANs formation, the branching ratio (α), the reaction ratio $k_{1b}/(k_{1a}+k_{1b})$, varies between 63 0.1-35%, which are associated with the carbon chain structure of the molecule, the distribution of 64 functional groups, temperature, and pressure (Reisen et al., 2005;Arey et al., 2001;Wennberg et al., 65 2018;Russell and Allen, 2005;Butkovskaya et al., 2012;Cassanelli et al., 2007). Some values of a, 66 which have not been quantified in the laboratory, are estimated through structure-activity relationships 67 (Arey et al., 2001; Reisen et al., 2005; Teng et al., 2015; Yeh and Ziemann, 2014a; Yeh and Ziemann, 68 2014b). Multiple field observations revealed a strong linear correlation between ANs and O₃, with a 69 correlation coefficient (r^2) exceeding 0.5, further substantiating the competitive relationship between 70 ANs and O₃ (Aruffo et al., 2014; Day et al., 2003; Flocke et al., 1998). 71

72 73 $RO_2+NO \rightarrow RO+NO_2$ (R1a)

 $RO_2+NO \Rightarrow RONO_2+NO_2$ (R1b)

Currently, research on the effects of ANs on O₃ distribution is predominantly located in Europe and the United States. Following the first in situ measurement of total organic nitrates through thermal dissociation laser-induced fluorescence instrument (TD-LIF) by Day et al., field observations of total ANs have been continuously conducted to study the role of ANs in the nitrogen cycle (Aruffo et al.,

2014; Browne et al., 2013; Chen et al., 2017; Darer et al., 2011; Day et al., 2003; Sadanaga et al., 2016). 78 In conjunction with field observations and model simulations, Farmer et al. were the first to indicate 79 that ANs influence the sensitivity of NO_x-VOCs-O₃ (Farmer et al., 2011). As NO_x emissions decrease 80 due to pollution control measures, ANs chemistry is expected to play an increasingly significant role 81 in O₃ simulations (Present et al., 2020; Zare et al., 2018). Current mechanisms for O₃ simulations 82 generally achieve reasonable predictions in large-scale models; however, they exhibit deviations 83 exceeding 10 ppbv in regional simulations (Young et al., 2018). Subsequent studies have demonstrated 84 that refining the ANs chemistry can further improve the simulation performance for O₃ (Schwantes et 85 al., 2020). ANs are predominantly produced through oxidation reactions facilitated by OH, O₃, and 86 NO₃. The daytime ANs are mainly contributed by the OH channel, whereas during nighttime, the 87 contribution of the NO₃ channel is linked to significantly increased yields of ANs (Liebmann et al., 88 2018; Ng et al., 2017; Zare et al., 2018). Presently, the enhancement of ANs chemistry mainly focuses 89 90 on BVOCs, particularly isoprene and monoterpenes. These researches aim to enhance the yield of ANs derived from BVOCs, the re-release ratio of ANs to NO_x, and the contribution of ANs to aerosols 91 (Fisher et al., 2016; Romer et al., 2016; Travis et al., 2016; Zare et al., 2018). Despite the establishment 92 of a complete mechanism scheme at present, significant uncertainties remain in ANs simulation, which 93 94 may introduce substantial uncertainties into the O₃ simulation.

95 Atmospheric pollution is common across China, particularly in the Yangtze River Delta. Shanghai, as a highly urbanized metropolis in the Yangtze River Delta, has rendered the region's complex 96 pollution due to its rapid economic growth and urbanization (Wang et al., 2022; Zhu et al., 2021). 97 Previous studies have shown a significant increase in near-surface O₃ levels from 2006 to 2016 in 98 Shanghai (Gao et al., 2017). However, research on the ANs chemistry and their impact on O₃ pollution 99 remains limited in this area. In addition, most field measurements of ANs have focused on short-chain 100 species (Ling et al., 2016; Song et al., 2018; Sun et al., 2018; Wang et al., 2013), which have been 101 observed to exert a typical inhibition effect on daytime O3 production. A limited number of total ANs 102 measurements found that both ANs and O₃ production were in the VOC-limited regime (Li et al., 2023). 103 104 To further investigate the influence of organic nitrates on O₃ production, this study describes the distribution of organic nitrates based on a comprehensive field campaign conducted in Shanghai, 105 106 analyzes the effects of organic nitrates on O₃ production through model simulations, and offers 107 recommendations for the prevention and control of ozone pollution in the region.

108 2. Methodology

109 2.1 Measurement site and instrumentations

A comprehensive campaign was conducted in Shanghai to further investigate the chemical 110 behavior of organic nitrates in urban environments across China. As depicted in Fig. 1, the site is 111 located in the Xuhui District of Shanghai (121.44°E, 31.18°N), in proximity to the Shanghai Inner 112 Ring Viaduct, surrounded by numerous residential and office areas without significant industrial 113 emission sources. The site is mainly influenced by morning-evening rush hours, as well as the transport 114 of air masses to the urban location. The overall wind speed was low, predominantly originating from 115 the east. All the measurement instruments were housed in the temperature-controlled room within the 116 laboratory building at the Shanghai Academy of Environmental Sciences. Thermal Dissociation-117

118 Cavity Enhanced Absorption Spectroscopy (TD-CEAS) was positioned on the 7th floor about 25 m 119 above ground level, with the sampling tube extending out through the window.



120

121 Figure 1. Map of the city of Shanghai and the surrounding area (@ MeteoInfoMap). The red star is the location of 122 the campaign site.

The Shanghai campaign focused on studying summer ozone pollution, with the chemical 123 parameters presented in Table 1. Organic nitrates were measured by TD-CEAS with a sampling flow 124 rate of 3 L/min and a sampling duration of 3 min for alternating measurements of NO₂, PNs, and ANs. 125 The sampling apparatus consisted of a 2-meter-long 1/4-inch tetrafluoroethylene (TFE) tube, through 126 which the atmosphere was filtered through a TFE particulate filter. The membrane was replaced once 127 a day to mitigate the interference caused by wall loss. The measurement of PAN was conducted by gas 128 chromatography electron capture detection (GC-ECD). The Measurement of N₂O₅ was performed via 129 CEAS, which relies on the thermal dissociation of N₂O₅ to yield NO₃. Particulate nitrates and gaseous 130 HNO3 were measured online by AeRosols and GAses (MARGA), where soluble substances were 131 quantified through ion chromatography following dissolution. The measurements of HONO were 132 finished by CEAS during the campaign. Measurements of VOCs were achieved using a combination 133 of GC-FID and GC-MS, with GC-MS predominating due to the limited species measured by GC-FID. 134 The photolysis rate constant (J value) was determined using a spectrum radiometer with a time 135 resolution of 20 s. Additionally, simultaneous measurements of other trace gases such as NO, NO₂, 136 SO₂, CO, O₃, and PM_{2.5} were conducted using commercial instruments. 137

138 Table 1. Measured species for organic nitrates analysis and instrument time resolution, accuracy, and detection 139 limitation.

Parameters	Measurement technique	Time resolution	Accuracy	Detection limit
ANs, PNs, NO ₂	TD-CEAS	3 min	\pm 8%	93 pptv
PAN	GC-ECD	5 min	\pm 10%	5 pptv
N ₂ O ₅	CEAS	1 min	\pm 19%	2.7 pptv
NO	Thermo 42i	1 min	\pm 10%	60 pptv

NO ₂	Chemiluminescence	1 min	\pm 10%	300 pptv
HONO	CEAS	1 min	\pm 3%	100 pptv
Particulate nitrate	2060 MARGA	1 h	\pm 3%	$0.01 \ \mu g/m^3$
HNO ₃	2060 MARGA	1 h	\pm 3%	$0.01 \ \mu g/m^3$
SO ₂	Thermo 43i-TLE	1 min	\pm 16%	50 pptv
НСНО	Hantzsch fluorimetry	1 min	\pm 5%	25 pptv
СО	Thermo 48i-TLE	1 min	\pm 16%	50 pptv
O ₃	Thermo 49i	1 min	\pm 5%	0.5 ppbv
PM _{2.5}	Thermo TEOM	1 min	\pm 5%	$0.1 \ \mu g/m^3$
VOCs	GC-FID/GC-MS	1 h	\pm 30%	20-300 pptv
J value	Spectrum radiometer	20 s	± 10%	$5 \times 10^{-5} \text{ s}^{-1}$

141 **2.2 Model calculation**

To investigate the impact of ANs chemistry on O₃ production, a box model was employed to 142 simulate the photochemistry processes. The mechanism of the model was enhanced based on RACM2 143 (Regional Atmospheric Chemical Mechanism version 2). This box model simulates the 144 physicochemical processes occurring within a defined volume for each reactant. It utilizes measured 145 146 parameters as the boundary condition to simulate the chemistry process while allowing for convenient adjustments to the mechanism. The model generates files detailing concentration changes, budget 147 processes, and reaction rates, thereby providing an efficient means to simulate ground-level pollutants. 148 In this study, the box model was constrained by various parameters, including J values, O₃, NO, NO₂, 149 CO, HONO, VOCs, RH, temperature, and pressure, with the time step set to 1h. The deposition process 150 151 was quantified using the deposition rate and the boundary layer height, with the dry deposition rate established at 1.2 cm/s and the boundary layer height constrained by data obtained from NASA. 152

The RACM2 facilitates classification through the distribution of functional groups and 153 subsequently delineates reactions involving 17 stable non-organic compounds, 4 inorganic 154 intermediates, 55 stable organic compounds, and 43 intermediate organic species within the 155 mechanism. However, the mechanism description for ANs is notably abbreviated. The various ANs, 156 characterized by differing functional groups, are treated as a unified entity, thereby neglecting the 157 influence of functional groups on the underlying chemistry. Consequently, this study builds on the 158 previous research and further evaluates the updates of the mechanism (Li et al., 2023). These 159 mechanistic updates are developed based on the work of Zare et al. and primarily encompasses the 160 oxidation processes of BVOCs by OH and NO₃, as well as the deposition and the aerosol uptake, which 161 are detailed in the SI (Zare et al., 2018). Accordingly, three mechanistic schemas are compared based 162 on the campaign, which will be elaborated upon in subsequent sections. A box model based on the 163 above mechanism is used to calculate the ozone production rate $(P(O_3))$ (Tan et al., 2017b). $P(O_3)$ was 164 165 quantified based on the net production rate of O_x (the sum of O_3 and NO_2), by subtracting the O_x depletion from the instantaneous O_x production. The simulation uncertainty of the box model is about 166 40%, introduced mainly by the simplified reaction rate constants, photolysis rate constants, and near-167 ground deposition (Lu et al., 2013). The impact of PNs photochemistry on local ozone is quantified by 168 comparing the difference of the daytime P(O₃) between the scenarios with and without PNs 169

photochemistry via a chemical box model. Here, the PNs photochemistry includes the production andremoval of PAN, MPAN and PPN.

To facilitate the assessment of the impacts of ANs on local O₃ pollution, we further conducted a 172 simplified box model based on the steady-state assumption approach. Several studies have examined 173 the combined effect of α and VOCs reactivity on local O₃ levels using this approach (Farmer et al., 174 2011; Present et al., 2020; Romer et al., 2016; Romer et al., 2018). Briefly, the production pathway of 175 ANs is simplified according to VOCs categories and the production rate of OH and HO₂ ($P(HO_x)$) is 176 fixed to a constant value. VOCs are categorized into two primary groups: non-oxygenated VOCs 177 (RVOCs) and oxygenated VOCs (OVOCs). Both categories of VOCs undergo oxidation by OH, 178 resulting in the formation of RO₂, specifically RVOCRO₂ and OVOCRO₂. The interaction between 179 RVOCRO₂ and NO will produce α ANs, (1- α) NO₂, HO₂, and OVOC. Conversely, the reaction of 180 OVOCRO2 with NO directly generates NO2. In the Beijing-Tianjin-Hebei, Yangtze River Delta, and 181 182 Chengdu-Chongqing regions of China, P(HO_x) is approximately 4 ppbv/h (Lu et al., 2013; Tan et al., 2018a; Tan et al., 2018b). P(HO_x) is therefore assumed to be 4 ppbv/h, with equal production rates of 183 OH and HO₂. The model also incorporates additional processes, including inter- and self-reactions of 184 RO₂, as well as reactions between NO₂ and NO, and deposition processes. In addition, during the 185 daytime, NO is determined by j(NO₂), O₃, and NO₂ according to the photo-stationary state among NO-186 187 NO₂-O₃. Based on the above simplified approach, production rates of ANs and O₃ in this study can be derived by direct calculations. 188

189 To investigate the effects of NO_x and VOCs on O_3 production, the theoretical maximum of $P(O_3)$ was simulated by a box model under varying concentrations of NO_x and VOCs. This approach was 190 employed to develop an empirical kinetic modeling approach for ozone production (EKMA). The 191 EKMA serves as a model sensitivity method to inform strategies for pollutant abatement. In this study, 192 EKMA utilizes the measured mean parameters as the initial point. Each parameter was incrementally 193 adjusted in 30 equidistant steps to create scaled arrays of VOCs and NO_x, which were subsequently 194 used to simulate the variations in P(O₃) resulting from changes in precursor concentrations. Ultimately, 195 196 contour plots illustrating the relationship between $P(O_3)$ arrays versus the concentrations of NO_x and VOCs are plotted based on the simulation results. 197

198 **3. Results and discussions**

199 **3.1 Overview of organic nitrates and precursors**

200 The duration of the Shanghai campaign was 20 days, spanning from May 25 to June 13, 2021. The analysis of organic nitrates is performed from 6 a.m. to 6 p.m., as measurements taken during 201 202 nighttime were subject to interference from N₂O₅ and its derivatives, a phenomenon noted in previous studies (Li et al., 2021; Li et al., 2023). Simultaneous measurements of PAN and PNs were conducted 203 throughout the campaign. There was a malfunction of the GC-ECD instrument from June 12 to June 204 13, during which the measurements of PAN were generally low. Relative humidity (RH) varied 205 considerably, with over 95% during rainfall periods on June 2, June 9, June 10, and June 13, while the 206 remaining days were predominantly sunny. Temperatures were high, with minimums of 20 °C and 207 208 daytime peaks reaching up to 36 °C. The wind speeds were generally high during the daytime and low 209 at night, with maximum of 4.2 m/s. The easterly winds prevailed during the campaign, except for May



210 27-28 and June 3-6 with mostly west and southwest winds.

211

Figure 2. The time series of the related parameters focused on organic nitrates during the campaign. The background days are represented by green B, the clean days are represented by blue C, and the ozone pollution day is represented by red P.

According to Chinese air quality standards for Class II areas, which define ozone pollution days 215 216 as those with an hourly average exceeding 100 ppby, the periods from May 29 to May 30 and June 5 to June 6 have been identified as ozone pollution days. The days without ozone pollution are 217 categorized as clean or background days. For clean days, parameters, including KOH, SO2, and CO, 218 show significant diurnal variations (Fig S1), and no rain occurs. The days that are neither ozone 219 pollution days nor clean days are then classified as background days. The daytime averages of 220 environmental parameters during the ozone pollution period, the clean period, and the background 221 period are presented in Table 2. Excluding cloudy and rainy days, the daytime peak of J(O¹D) was 222 near 2.8×10^5 s⁻¹, indicating a high photochemical oxidation potential. As a secondary photochemical 223 product, O₃ exhibited a typical daily profile, peaking at 140.5 ppbv throughout the campaign. The 224 measurements of PNs peaked at 3.6 ppbv with a daytime average of 0.5 ± 0.3 ppbv, while ANs peaked 225 at 1.6 ppbv with a daytime average of 0.5 ± 0.3 ppbv. Ozone pollution periods were often associated 226 227 with high organic nitrates. The mean daily variation of NO_x was consistent with the characteristics of typical urban sites, significantly influenced by the morning-evening rush hours. During the daytime, 228 229 NO exhibited a single peak distribution, whereas NO₂ displayed a bimodal distribution. In comparison to the background and clean period, the ozone pollution period was characterized with higher 230 temperatures and lower humidity. Additionally, the photolysis rate and levels of PM_{2.5} were both 231 elevated during pollution days. 232

233 **Table 2.** Summary of daytime averages of chemical parameters over different periods during the Shanghai campaign.

Pharse	Ozone pollution	Background	Clean
T/°C	29.8±3.7	27.0±3.4	26.0±3.5
P/hPa	1043.6±0.8	1045.3±0.9	1044.3±1.4
RH/%	39.2±13.9	65.2±16.0	62.4±17.2
J(O ¹ D)×10 ⁵ /s	1.3±0.9	$0.9{\pm}0.8$	$0.8{\pm}0.8$
J(NO ₂)×10 ³ /s	4.5±2.1	2.8±2.0	2.6±1.9
NO ₂ /ppbv	17.3±6.1	16.5±5.8	20.3±7.4
NO/ppbv	3.2±2.6	4.0±2.7	4.2±3.7
O ₃ /ppbv	78.6±30.9	41.6±27.7	45.0±21.5
$PM_{2.5}/\mu g \cdot m^{-3}$	25.9±4.3	18.3±13.4	21.9±10.0
SO ₂ /ppbv	2.2±1.7	$0.4{\pm}0.5$	$0.6{\pm}0.7$
CO/ppbv	505.3±64.3	441.6±133.3	535.0±147.8
ISO/ppbv	0.1±0.1	0.2±0.2	0.1±0.1

The mean diurnal profiles of organic nitrates and related parameters observed during the campaign 235 are shown in Fig. 3. During the ozone pollution period, NO_x exhibited a peak concentration at 3:00 236 a.m., indicating the transport of a polluted air mass to the site. In comparison to the clean period, 237 daytime NO_x was lower during the ozone pollution period, particularly at noon when NO dropped to 238 239 as low as 1.7 ppbv. Correspondingly, ANs during the ozone pollution period were generally high, but the daily variation was not significant. Therefore, the sources of ANs were more complex during the 240 ozone pollution period, involving both transport contribution and local production, which aligns with 241 the significantly increased background O₃. During the clean period, the daytime peak of O₃ was notably 242 reduced and occurred later in the day. The fluctuations in NO_x were more closely associated with 243 morning and evening rush hours. The daytime peak of PNs decreased from 2.6 ppbv to 1.4 ppbv. In 244 245 addition, the diurnal profile of ANs displayed a more pronounced peak at noon. During the background period, there was a further decline in the daytime peaks of NO_x compared to the clean period. The 246 diurnal profile of O₃ exhibited similar trends, but the duration of high O₃ was significantly shortened. 247 The levels of both PNs and ANs exhibited a decline, approaching the background concentrations. 248





250 **Figure 3.** Mean diurnal profiles of organic nitrates and related parameters during different observation periods.

Here, we compare our observations with the study previously conducted in Xinjin, which is a 251 suburban site, located in basin topography and faces emerging ozone pollution recently, to determine 252 253 the effect of organic nitrate on O₃ production under different pollution conditions (Li et al., 2023). The Shanghai and Xinjin campaigns were conducted in early and late summer, respectively, exhibiting 254 similar meteorological conditions. Photochemical conditions during both two campaigns are 255 comparable, with the daily means of $J(O^1D)$ were 0.9×10^{-5} s⁻¹ and 0.8×10^{-5} s⁻¹, while the daily means 256 of J(NO₂) were 3.1×10^{-3} s⁻¹ and 3.0×10^{-3} s⁻¹, respectively, during Shanghai and Xinjin campaigns. 257 The ratio of NO to NO₂ was 0.19 and 0.17 at Shanghai and Xinjin, respectively. Meanwhile, the 258 concentration of NO_x observed in Shanghai site (daily averages of 22.0 ppby) is higher than that 259 observed in Xinjin site (daily averages of 12.5 ppbv). The concentrations of SO₂ and CO at Shanghai 260 site were 0.9 and 491.4 ppbv, while SO₂ and CO were 0.6 and 404.5 ppbv, respectively. Therefore, the 261 air masses at Shanghai site were less aged than Xinjin site. However, the concentration of VOCs is 262 lower in Shanghai campaign compared to Xinjin campaign, with daily mean of 23.5 ppbv compared 263 to 22.4 ppby. Therefore, a comparison of the two campaigns facilitates a comprehensive analysis of 264 the impacts of organic nitrate chemistry on local ozone pollution. 265

266 **3.2 Evaluation of organic nitrates simulations**

In light of the updates to the mechanisms, validation testing has been conducted. Our previous study of the Xinjin campaign evaluated three mechanism schemes: mechanism S0, which is based on RACM2, mechanism S1 and mechanism S2 which refines the budget for BVOC-derived organic

nitrates (Li et al., 2023). It was found that the performance of mechanism S2 for organic nitrates 270 exhibited an improvement exceeding 50%. Mechanism S2 has been updated by the Berkeley group 271 (Fisher et al., 2016; Travis et al., 2016), which includes enhancements to the production mechanism of 272 isoprene, the incorporation of the production mechanism for monoterpenes, and the completion of the 273 uptake of organic nitrates by aerosols. Additionally, the Zare mechanism further refines the production 274 mechanism of organic nitrates initiated by OH and NO₃, as well as improving the deposition process 275 of organic nitrates. As a result, the Shanghai campaign was simulated using RACM2, Berkeley, and 276 Zare mechanisms respectively for comparison. 277

The simulation result of organic nitrates under the three mechanisms is shown in Fig. 4a. The 278 simulations for PAN or PNs exhibit an overall underestimation tendency, with the simulation of PAN 279 demonstrating an even greater underestimation. Notably, the measured PNs remained above 500 pptv 280 during nighttime, indicating a continuous transportation contribution at this site. Furthermore, the 281 282 underestimation of PNs may be attributed to the unidentified ROx sources. It is consistent with the findings from summer campaigns in Wangdu, Beijing, where an underestimation of RO₂ was noted, 283 particularly pronounced at elevated ambient NO_x (Tan et al., 2017a). In terms of ANs, the simulation 284 performances vary across different mechanisms. A significant overestimation of ANs is evident when 285 286 utilized RACM2. Conversely, the simulation based on the Berkeley and Zare mechanisms generally results in an underestimation of ANs, while the underestimation of the Zare mechanism is more 287 significant. Sensitivity tests conducted in Xinjin campaign suggested that the simple representation of 288 ANs uptake caused the underestimation (Li et al., 2023), which is the same reason of underestimation 289 in the Shanghai campaign. The uptake of ANs need further experimental data to achieve a detailed 290 description to support the simulations. 291

The diurnal profile of simulated PNs is consistent with the measurements, both reaching their 292 daytime peak shortly after sunrise. However, it is noteworthy that the peak concentration of PNs 293 measurements is significantly higher than the simulation. In a similar pattern with PNs, the simulated 294 ANs began to accumulate around 6:00 a.m. The measured ANs reached their peak near noon, whereas 295 the simulations peaked at 3:00 pm. To evaluate the performance of simulations, as showed in Fig. 4b, 296 three types of error ratios were calculated: Mean Square Error (MSE), Mean Absolute Error (MAE), 297 298 and Mean Absolute Percentage Error (MAPE). Different error metrics for the organic nitrates exhibit 299 a similar trend. The simulation performances of the Berkeley mechanism are better than the other two mechanisms. It should be noted that the Berkeley mechanism failed to fully reproduce the diurnal 300 pattern of observed ANs. This is mainly due to the atmospheric transport that contributes to the ANs 301 as mentioned in section 3.1. In addition, the drastic changes in NO_x during rush hours will introduce 302 303 errors to the ANs measurements. In addition, the Zare mechanism refined the oxidation of BVOCs by OH or NO₃ by introducing extra species with uncertain yields, which might bring biases to the 304 simulations under high NOx and anthropogenic VOCs. In general, the Berkeley mechanism performs 305 better on simulation of ANs than Zare mechanism. As a result, the subsequent analysis is based on the 306 Berkeley mechanism. 307



Figure 4. Mean diurnal profiles of observed and simulated ANs and PNs under different mechanism constraints during the Shanghai campaign (a), and the error of the different cases (b), including mean square error (MSE), mean absolute error (MAE) and mean absolute percentage error (MAPE).

312 **3.3 Impact of PNs chemistry on local ozone production**

Organic nitrates and O₃ have common precursors, and therefore the atmospheric behavior of 313 organic nitrates has an important influence on the local O₃ distribution. The production of PNs 314 consumes NO₂ and RO_x, thereby directly impacting O₃ production. The relationship between the 315 distribution of PNs and O₃ is examined throughout the campaign. The observed PAN, PNs and O₃ 316 between 9:00 a.m. and 2:00 p.m. are selected for the analysis to mitigate interference from sources that 317 are not produced during daytime. The correlation of PAN or PNs with O₃ are shown in Fig. S2. Both 318 PAN and PNs demonstrate a strong correlation with O₃ with the ratio of PAN or PNs to O₃ being 0.041 319 or 0.058. High ratios of PNs and O₃ usually indicate severe pollution episodes (Shepson et al., 1992; 320 Sun et al., 2020; Zhang et al., 2023; Zhang et al., 2014). The minimum ratio of PNs to O₃ (0.024) was 321 found during the clean periods, which can be regarded as the threshold for local photochemical 322 pollution. NO_x is the key pollutant for production of O₃ and PNs, in order to study the relationship 323 between the ratio of PAN or PNs to O_3 and NO_x . The daytime ratios of PAN to O_3 derived from 324 325 historical field observations are summarized with corresponding NO_x concentrations in Fig. 5. The ratio derived from this study was distributed in the medium level of historical observations. The linear 326 correlation of NO_x and the ratio of PAN to O₃ ratio suggests that the NO_x concentration controls the 327 relative production of PNs and O₃. 328



Figure 5. The relationship between historical daytime ratio of PAN to O₃ and NO_x concentrations. The red dot is the
Shanghai campaign, and the blue dots are the historical campaigns. A: Grosjean et al., 2002 (Grosjean et al., 2002);
B: Lee et al., 2008 (Lee et al., 2008), C: Zhang et al., 2014 (Zhang et al., 2014), D-E: Zhang et al., 2009 (Zhang et al., 2009), F-G: Zeng et al., 2019 (Zeng et al., 2019), H-K: Zhang et al., 2019 (Zhang et al., 2019), L-M: Sun et al., 2020 (Sun et al., 2020); N: Li et al., 2023 (Li et al., 2023), O-R: Xu et al., 2024 (Xu et al., 2024), S: this study.

Sensitivity tests were conducted based on the box model to quantify the impact of PNs 335 photochemistry on O₃ budgets. The differences of each pathway rate are calculated at the peak of O₃ 336 production rate (Fig. 6). In the absence of PNs chemistry, two primary source pathways -namely, the 337 reaction between RO₂ and NO, and the reaction between HO₂ and NO-exhibit large enhancements of 338 0.52 and 0.36 ppbv/h, respectively. In comparison, O3 sinks increase slightly in the absence of PNs 339 photochemistry, with the reaction between OH and O₃ showing the most significant enhancement of 340 0.11 ppbv/h. Therefore, during the Shanghai campaign, PNs photochemistry suppressed daytime ozone 341 production mainly by reducing the reaction between HO₂ or RO₂ and NO. 342



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Figure 6. The simulated difference of ozone produce rate ($\Delta P(O_3)$) at 11am between the constraint of the PNs photochemistry and without the PNs photochemistry.

The PNs maintain a notable concentration until 6:00 p.m., suggesting a persistent impact on local 346 ozone production. As shown in Fig. 7a, the PNs photochemistry began to inhibit ozone production as 347 early as 6 a.m. and increased up to 0.8 ppbv/h (16%) at 10 a.m. The integrated inhibition of PNs 348 photochemistry on O₃ production was 4.5 ppbv in the Shanghai campaign (Fig. 7b), which was less 349 pronounced than the Xinjin campaign (20 ppby). The reduced inhibition can be attributed to the lower 350 PNs production rate (P(PNs)) observed in the Shanghai campaign (Fig. S3), where the maximum 351 daytime P(PNs) was 0.89 ppbv/h, much lower than that in Xinjin campaign (3.09 ppbv/h). In addition, 352 the two campaigns had similar concentrations of VOCs, but daytime average of NOx in Shanghai site 353 is 22.0 ppby, which is much higher than that of Xinjin site (10.2 ppby). The PNs formation would be 354 reduced under high NO_x condition due to the rapid termination reaction via OH and NO₂, and thus 355 limited the suppression effect of PNs formation which is the case in Shanghai campaign. Like in Xinjin 356 campaign, PAN chemistry suppressed O₃ formation at a rate of 2.84 ppbv/h at a suburban site in Hong 357 358 Kong (Zeng et al., 2019). However, it was reported that PAN tended to suppress O₃ production under low-NO_x and low-RO_x conditions but enhanced O₃ production with sufficient NO_x at a rural coastal 359 site in Qingdao, which is consistent with the comparison of Xinjin and Shanghai campaigns (Liu et al., 360 2021). The impacts of PNs photochemistry on O₃ vary across different days. As shown in Fig. S4, the 361 integrated P(O₃) change reaches 6.9 ppbv due to PNs photochemistry during ozone pollution period. 362 For the background and clean periods, the changes are close to each other with a value of 3.8 and 4.2 363 ppbv, respectively. Therefore, the PNs photochemistry contributes to more P(O₃) inhibition during the 364 ozone pollution period, which should be considered in ozone pollution prevention. 365



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Figure 7. The impact of PNs photochemistry on $P(O_3)$ during the Shanghai campaign (a) daily changes of $P(O_3)$ under the constraint of PNs photochemistry, (b) integrated $P(O_3)$ change constrained by PNs photochemistry.

369 **3.4 Impact of ANs chemistry on local ozone production**

To elucidate the impact of the α on O₃ production, the EKMA was utilized to investigate the 370 combined response of NO_x and VOCs to O₃ production at different a. The O₃ production was calculated 371 by a simplified approach in method 2.2 and the α values were derived from weighted average of α 372 based on the measured VOCs, the corresponding OH reaction rate constant and the α (Table S1) in 373 Shanghai and Xinjin campaign, respectively. The model is initiated by the daytime averages of the 374 environmental parameters. A comparative analysis is conducted between the Xinjin campaign and the 375 Shanghai campaign where effective α is determined to be 0.031 and 0.053, respectively. As illustrated 376 in Fig. 8a&b, $P(O_3)$ exhibits a similar trend with the variations of NO_x and VOCs under different α , 377 378 while the value of $P(O_3)$ reduces with larger α at the same levels of precursors. For example, when VOCs is at 8 ppbv and NO_x reaches 9 ppbv, the $P(O_3)$ is 30.4 ppbv/h with α of 0.031, whereas it 379 decreases to 24.6 ppbv/h when α is 0.053. In addition, the larger of α in the Shanghai campaign 380 increases the threshold of NO_x concentration for the transition of O₃ production regime. When the 381 382 concentration of VOCs is fixed, a higher effective a results in a lower NO_x concentration corresponding 383 to the peak of $P(O_3)$. Consequently, an increase in α suppresses the peak of $P(O_3)$ and simultaneously affects its sensitivity to NO_x and VOCs concentrations. 384



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Figure 8. Ozone production ($P(O_3)$, ppb h-1) derived from a simplified analytic model is plotted as a function of NO_x and VOCs under three different organic nitrate scenarios with branching ratios of (a) 0.031 for the Xinjin campaign, (b) 0.053 for the Shanghai campaign, and (c)VOC-dependent branching ratios for Shanghai campaign, where the

branching ratio decreases linearly from 12 to 0.5% with VOCs from 100 to 5 ppbv as shown in (d).

In the real atmosphere, the effective α of ANs tends to exhibit a decline with the reduction of 390 391 VOCs concentration. Historical studies show the general range from 0.03 to 0.04 in rural sites and 392 from 0.04 to 0.10 in urban environments, depending on the composition of VOCs and the α for BVOCs (Farmer et al., 2011; Perring et al., 2010; Perring et al., 2013; Perring et al., 2009; Rosen et al., 2004b). 393 For simplicity, we use a linear relationship between α and VOC concentration in the sensitivity analysis, 394 as shown in Fig. 8d. An a value of 0.005 was selected for clean condition with VOC concentration less 395 396 than 5 ppbv, while 0.12 was selected for polluted condition with VOC concentration larger than 100 ppbv. The lower limit of 0.005 is the average of the α for methane and ethylene. The upper limit of 397 0.12 is set as the reported value of the α for isoprene and the α for aromatic hydrocarbons are generally 398 distributed around 0.1 (Perring et al., 2013). The assumption of this linear relationship between a and 399 VOC concentration has also been applied in a previous study (Farmer et al., 2011). With a varying α , 400 as shown in Fig. 8d, P(O₃) does not follow a consistent downward trend as VOCs decrease in VOC-401 limited regime or transition regime. Instead, with the decrease of VOCs, the P(O₃) is likely to increase 402 at first at a relatively high VOCs distribution, and then decrease similar to the fixed α scenario. Take 403 the cases of the horizontal dashed line as an example, at a fixed NO_x, the P(O₃) increases as the VOCs 404 decrease within the range of about 60 to 100 ppbv, whereas P(O₃) subsequently decrease as VOCs fell 405 below 60 ppby. Therefore, with the reduction in VOCs emission, an increase in α directly correlates 406 with a reduction in the $P(O_3)$ peak. As a result, a positive correlation between α and VOCs 407 concentrations in real atmosphere might alter the NO_x-VOCs-O₃ relationship and diminish the effects 408 of VOCs reduction on ozone control. 409

410 Scenarios with different VOCs reactivity and α are selected for sensitivity tests to further investigate the impact of ANs chemistry on the O3 pollution control strategy in Shanghai. As illustrated 411 in Fig. 9a, variations of P(O₃) among three scenarios exhibit an initial increase followed by a 412 subsequent decrease with rising NO_x. For the typical VOC reactivity and α obtained from the Shanghai 413 campaign, the turning point from NO_x benefit to NO_x limitation for P(O₃) occurs at NO_x concentration 414 of 1.38 ppbv, when P(O₃) reaches a peak of 33.0 ppbv/h. When VOCs are reduced by 20% without 415 accounting for the reductions in α , the turning point for NO_x decreases to 1.26 ppbv with the P(O₃) 416 peak decreasing to 30.1 ppbv/h. When the reduction of a is considered alongside the decrease in VOCs 417 (α decreases to 0.0265), the peak of P(O₃) remains the same as the initial case. Consequently, 418 neglecting the α changes is likely to overestimate the effectiveness of emission control. Our 419 observations indicated that NOx in Shanghai was notably high, which accords with the conditions to 420 the right of the turning point in Fig. 9a. In this case, the major chain-termination reaction of the HO_x 421 cycle is the reaction between OH and NO₂ to produce HNO₃, while the share of the reaction that 422 produces ANs through the reaction between RO₂ and NO becomes relatively minor. As illustrated in 423 Fig. 9a, when NO_x changes from 22.0 to 1.0 ppbv, the impact of α change will be larger, as the P(O₃) 424 difference between the two cases ranges from 0.1 to 2.6 ppbv/h. Therefore, the variation of α has a 425 limited impact on O₃ production at high NO_x, whereas it offsets the impact of VOCs reduction as NO_x 426 decrease to around 1.5 ppbv which represents a low-NO_x emission condition. In addition, the 427 sensitivity analyses in a reduced VOC condition show that neglecting the α change still overestimates 428 429 the impact of VOCs reduction on $P(O_3)$ by around 4 times with NO_x of 1 ppbv (Fig. 9b), which is also more significant than the case in Shanghai campaign. Therefore, the variation in α has a temporarily 430 limited impact on O₃ production, whereas it should be seriously considered as NO_x levels continue to 431

432 decrease.



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Figure 9. The ozone production rate (P(O₃)) varies as a function of NO_x under different VOC-NO_x regimes during Shanghai campaign: (a) under mean measured parameters during the whole campaign (solid line, VOC reactivity (K_{OH}) of 4.3/s, ANs branching ratio (α) of 0.053); a 20% reduction in K_{OH} with a 50% reduction in α (red dot line, 3.4/s, 0.0265); a 20% reduction in K_{OH} with no change in α (blue dot line, 3.4/s, 0.053). (b) under observed parameters during the clean days (solid line, K_{OH} of 2.0/s, α of 0.053); a 20% reduction in K_{OH} with a 50% reduction in α (red dot line, 1.6/s, 0.0265); a 20% reduction in K_{OH} with no change in α (blue dot line, 1.6/s, 0.053). Dash lines show the turning point in different cases.

To further investigate the effect of ANs formation on O₃ production during different days, 441 sensitivity tests on VOCs reactivity and α are conducted based on typical conditions during different 442 periods. The a values are derived as 0.055, 0.054 and 0.052, for the high ozone, clean and background 443 444 periods, respectively. As shown in Fig. S4, the $P(O_3)$ exhibits a similar trend with the increase of NO_x across different periods. The P(O₃) peak during the background period (30.3 ppbv/h) is slightly lower 445 than that during both the high ozone days and the clean days (32.5 and 32.4 ppbv/h). Therefore, the 446 ANs chemistry has similar effects on O₃ production within different periods during the Shanghai 447 campaign. Further comparisons of ozone production under varying precursor levels were conducted 448 using historical observations collected in August 1994 at Mecklenburg-Vorpommern Mankmoos (MK), 449 Germany (Ehhalt, 1999), and during the spring of 2006 in Mexico City (MX) (Farmer et al., 2011; 450 Perring et al., 2010). The MK site serves as a typical clean background location with a very low 451 effective α of 0.005, corresponding to τ VOC of 0.4 s⁻¹, where methane is the predominant pollutant. 452 Conversely, the MX site is characterized as an urban environment with an effective α of 0.036, where 453 a total of 58 VOCs was measured, corresponding to τ VOC of 3.1s⁻¹. The MK site shows a peak of 454 $P(O_3)$ is 2.2 ppbv/h at the NO_x of 0.63 ppbv. In contrast, the MX site demonstrates a peak $P(O_3)$ of 7.2 455 ppbv/h at a NO_x of 1.9 ppbv. Given that the Xinjin and Shanghai sites exhibit higher VOCs reactivity 456

than MX, the corresponding peak $P(O_3)$ and the NO_x inflection point are significantly elevated. This increase is primarily attributed to the high $P(HO_x)$, coupled with a low α , which substantially enhances $P(O_3)$ under the intensified HO_x cycling. Consequently, the ozone production potentials of urban sites in China are overall higher than in other regions, while the influence of α appears to be weak.

461 **4. Conclusions**

This study reveals the abundances of PNs and ANs and quantifies their respective impacts on O3 462 pollution based on the field campaign in Shanghai. They both showed higher values but less 463 pronounced diurnal variation during the O₃ pollution period than the clean period. The mechanism 464 validation indicates that Berkeley mechanism generally outperforms in the simulation of organic 465 nitrates. The ratio of PNs/O₃ serves as a significant indicator of photochemistry. In comparison to the 466 previous Xinjin campaign, the inhibition effect of PNs chemistry on daytime O3 production diminished, 467 468 likely attributed to the lower production of PNs. For ANs, the model simulation demonstrated that the branching ratio (α) influences the NO_x-VOCs-O₃ sensitivity. The consideration of α value not only 469 alters the $P(O_3)$ peak in EKMA but also resulted in low effectiveness of precursor reductions, as the α 470 would change with the reduction of VOCs. It is worth mentioning that the complex polluted regions 471 are usually characterized by high NO_x and HO_x. In that case, the contribution of chain-termination 472 reactions that produce ANs could be reduced, leading to limited impact of AN chemistry on O₃ 473 formation. The effect of ANs chemistry on O₃ pollution control is therefore expected to enhance with 474 further precursor reductions, and we suggest a pressing need for more measurements and analysis of 475 organic nitrates to address the forthcoming challenges in air pollution mitigation. 476

- 478 Code/Data availability. The datasets used in this study are available from the corresponding author
 479 upon request (chenxr95@mail.sysu.edu.cn; k.lu@pku.edu.cn).
- 481 **Author contributions.** K.D.L. and X.R.C. designed the study. C.M.L. and X.R.C. analyzed the data 482 and wrote the paper with input from K.D.L.
- 484 **Competing interests.** The authors declare that they have no conflicts of interest.
- Acknowledgments. This work was supported by the National Natural Science Foundation of China
 (Grants No. 42407139); the National Natural Science Foundation of China (Grants No. 22406204);
 the special fund of State Environmental Protection Key Laboratory of Formation and Prevention of
 Urban Air Pollution Complex (SEPAir-2024080219); the Innovative Exploration Program of National
 Institute of Metrology, China (No. AKYCX2313).
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