

# Measurement report: Aircraft observations of aerosol and microphysical quantities of stratocumulus in autumn over Guangxi Province, China: temporal variation, vertical distribution and aerosol-cloud interactions

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**Abstract:** Aerosols and clouds play essential roles in the global climate system, and aerosol-cloud interactions have a significant impact on the radiation balance, water cycle, and energy cycle of the earth-atmosphere system. To understand the effect of aerosols on the vertical distribution of stratocumulus microphysical quantities in southwest China, we analyzed data from nine aircraft observations over Guangxi from October 10 to November 3, 2020. This analysis focused on the temporal variation characteristics and formation mechanisms of stratocumulus microphysical profiles, considering the influence of aerosol number concentration in relation to the source of air mass and individual cases. Aerosol number concentration ( $N_a$ ) and cloud droplet concentration ( $N_c$ ) decreased gradually with the altitude increase below 1500m and did not change with the height between 1500 m and 3300 m. The temperature inversion layer at the top of the planetary boundary layer (PBL) hindered the increase in the cloud droplet particle size. The lower layer of the stratocumulus cloud in Guangxi mainly contained small-sized cloud droplets (effective diameter of a cloud droplet,  $E_d < 15 \mu\text{m}$ ), and the middle and upper layers of cloud droplets were large particle-size cloud droplets ( $E_d > 20 \mu\text{m}$ ). The vertical distribution of cloud microphysical quantity had apparent temporal variation. When aerosols in PBL were transported to the upper air (14:00 to

20:00),  $N_c$  in the lower layer decreased, and the small particle-size cloud droplets ( $E_d < 20 \mu m$ ) in the middle layer and upper layer increased. Aerosols from the free atmosphere were transported into PBL (10:00 to 13:00), providing an abundance of cloud condensation nuclei, which increased the number of small particle-size cloud droplets in the lower layer of the cloud (near the top of PBL). The characteristics of cloud microphysical quantity were also affected by the source of air mass and the height of PBL.  $N_a$  and  $N_c$  were high under the influence of land air mass or aerosols within PBL, and the cloud droplet number concentration spectrum was unimodal.  $N_a$  and  $N_c$  were low under the influence of marine air mass or above the boundary layer, and the cloud droplet number concentration spectrum was bimodal. The relationship between stratocumulus and aerosol in this region is consistent with the Twomey effect.  $E_d$  and  $N_a$  remain negatively correlated in different liquid water content ranges, and FIE (the aerosol first indirect effect) ranged from -0.07 to -0.58.

**Keywords:** Aerosol; Aircraft observations; Cloud microphysical quantities; Vertical profile; The planetary boundary layer

## 1. Introduction

Clouds are an essential component of the Earth-atmosphere system, covering over 67% of the Earth's surface (King et al., 2013), with stratocumulus clouds covering approximately 20% of the Earth's surface in the annual mean. Stratocumulus typically occupies the upper few hundred meters of the planetary boundary layer (PBL) (Wood, 2012). They can absorb atmospheric long-wave radiation and reflect solar short-wave radiation to influence the radiation budget of the Earth's atmospheric system (Pyrina et al., 2015; Ramanathan et al., 1989; Zelinka et al., 2014). Additionally, they participate in the global water cycle through precipitation processes (Betts, 2007; Rosenfeld et al., 2014). Cloud microphysical characteristics are closely related to the climate effect and precipitation formation of stratocumulus clouds. Differences in cloud water content, cloud droplet number concentration and cloud droplet size in different regions will produce different radiative forcing and precipitation (de Boer et al., 2008; Waliser et al., 2011; Yuan et al., 2008).

Aerosols are an important source of cloud condensation nuclei (CCN), and thus, variations in aerosols can lead to significant changes in the microscopic characteristics of clouds (Chen et al., 2021; Dusek et al., 2006; Lance et al., 2004). Twomey (1977) suggested that, with the liquid water path (LWP) of clouds remaining constant, an increase in aerosol number concentration ( $N_a$ ) would lead to an increase in cloud droplet number concentration ( $N_c$ ) and a decrease in cloud droplet size,

thereby enhancing cloud albedo. Albrecht (1989) proposed that the decrease of cloud droplet particle size caused by the increase of aerosols would further inhibit the precipitation process of clouds and thus extend the lifetime of clouds.

Currently, aircraft observation, ground-based remote sensing, and satellite remote sensing are the main observation methods used to study the interaction between aerosols and clouds. Many scholars have confirmed the Twomey effect (the first indirect effect of aerosols) through observational data (Ferek et al., 1998; Han et al., 1994; Kleinman et al., 2012; Koren et al., 2005). Based on radar observation data, Kim et al. (2003) found that the aerosol optical depth in Oklahoma presents a linear proportional relationship with LWP on a completely cloudy day with a single-layer cloud, and the effective radius of cloud droplets is negatively correlated with the surface aerosol light scattering coefficient. For a given LWP, Cloud albedo and radiative forcing are very sensitive to the effective radius. Li et al. (2019) using aircraft observation data over the Loess Plateau, found a negative correlation between  $N_a$  and  $N_c$  in both vertical and horizontal directions. Under high aerosol loading ( $N_a$  below the cloud base was  $4573 \text{ cm}^{-3}$ ), smaller cloud droplets with high  $N_c$  ( $N_c=157 \text{ cm}^{-3}$ ) were observed, while few large cloud droplets ( $N_c=118 \text{ cm}^{-3}$ ) were formed under low aerosol loading ( $N_a$  below the cloud base was  $982 \text{ cm}^{-3}$ ). Cloud droplet number concentration was negatively correlated with cloud droplet diameter within a specific range of liquid water content (LWC). However, some scholars have also observed a positive correlation between  $N_a$  and the effective diameter of cloud droplets ( $E_d$ ) (Harikishan et al., 2016; Jose et al., 2020; Liu et al., 2020), referred to as the anti-Twomey effect.

Aircraft observations with continuous vertical sampling are the most reliable source that can accurately characterize the vertical relationship between aerosol and cloud (Nakajima et al., 2005; Terai et al., 2014; Wehbe et al., 2021; Zaveri et al., 2022). McFarquhar et al.(2021) conducted aircraft observations in the Southern Ocean region. They found aerosols above clouds may originate from new particle formation and remote transport from continental air masses. This leads to variations in CCN and  $N_c$  near cloud tops. During the ACE-ENA campaign, the probability of aerosol transport interacting with marine boundary layer clouds over the eastern North Atlantic (ENA) during summer was approximately 62.5% (Wang et al., 2020).

Zhao et al.(2019) observed a stratus cloud (water cloud) in the Huanghua region of China by aircraft and found that in the PBL, the effective radius of cloud droplets and  $N_a$  show a negative

relationship, while they showed a clear positive relationship in the upper layer above PBL with much less Na. It also shows that the relationship between the effective radius of cloud droplets and Na changes from negative to positive when LWC increases. Lu et al. (2007) compared the microphysical quantities of stratocumulus clouds influenced by aircraft flight tracks and those in undisturbed regions and found that the effective radius of cloud droplets in the flight path region was smaller, the number concentration of hair drops was lower, and the cloud LWC was larger, providing observational evidence for the first indirect effect of aerosols.

The mechanism of interaction between aerosols and clouds still involves significant uncertainty, influenced by factors such as aerosol physicochemical properties, meteorological conditions, cloud types, and the relative positioning of aerosols and cloud layers (Almeida et al., 2014; Dusek et al., 2006; Wex et al., 2010; Zhang et al., 2011). Therefore, precise measurements of cloud microphysical properties are crucial as the first step in studying aerosol-cloud interactions. Multi-aircraft observations provide high-precision observational data, aiding in understanding the relationship between aerosols and cloud microphysical characteristics.

Our study on the vertical distribution of aerosol in the Guangxi region found that the vertical profile of Na in this region has prominent temporal variation characteristics under the influence of PBL. In the morning, aerosols are mainly concentrated in PBL. With the development of PBL and the enhancement of turbulent activity, the aerosols near the ground are diluted in the afternoon, and aerosols can be transmitted to more than 2 km. At night, the rapid decline of the top of PBL will increase Na near the surface. At the same time, some aerosols will stay above the top of PBL, forming a high-concentration aerosol layer (Liu et al., 2024). Previous studies have shown that aerosols can affect cloud microphysical properties. When aerosol particles settle onto clouds, or the cloud top is elevated, aerosols can alter the microphysical characteristics of clouds by being entrained into the cloud top (Lu et al., 2018; Painemal et al., 2014). This study used data from nine cloud-penetrating aircraft flights to investigate the vertical distribution and formation mechanisms of cloud microphysical properties in stratocumulus clouds over Guangxi. Additionally, we discussed the differences in the impact of aerosols from different sources on cloud microphysical properties. Our findings indicate that this region's interaction between aerosols and clouds aligns with the Twomey effect. The ultimate goal is to provide observational constraints for the simulation of aerosol radiative forcing in global climate models.

## 2. Data and methodology

### 2.1. Aircraft data and reanalyze data and data processing

The Beijing Weather Modification Office (BJWMO) provided the data for this study, and nine flights of stratocumulus clouds and aerosols over Guangxi were conducted using the King Air 350 ER turbo aircraft. The aircraft is equipped with the Aircraft Integrated Meteorological Measurement System (AIMMS-20, Aven tech Inc., Canada), which provides meteorological elements such as temperature (T) and relative humidity (RH) with a time resolution of 1 s. A passive cavity aerosol spectrometer probe (PCASP-100X, DMT Inc, USA) was installed to provide aerosol number concentrations in the particle size range of 0.11 to 3  $\mu\text{m}$ , with a time resolution of 1s, particle size uncertainty of 20%, and concentration uncertainty of 16%. The Fast Cloud Droplet Probe (FCDP, SPEC Inc, USA) was used to observe the cloud droplet concentration, cloud particle concentration and cloud particle size distribution. Its principle is to detect particles from 2  $\mu\text{m}$  to 50  $\mu\text{m}$  using forward scattering technology with a time resolution of 1s. The particle number concentration measured by the FCDP in the size range of less than 3  $\mu\text{m}$  has significant uncertainty. In this study, the range of  $N_c$  is defined as 3-50  $\mu\text{m}$ . All instruments were calibrated before observation. The detailed principles of the airborne instruments can be found in the following studies (Collaud Coen et al., 2010; Strapp et al., 1992; Zhang et al., 2009).

**Table 1** Flight information for the measurement campaign ( $N_a$ ,  $N_c$ , LWC, and  $E_d$  values are averages  $\pm$  the standard deviations).

Date	Take-off/landing (Beijing time)	time height (m)	Cloud base/cloud top height (m)	Inside cloud $N_a$ ( $\text{cm}^{-3}$ )	$N_c$ ( $\text{cm}^{-3}$ )	LWC ( $\text{g}\cdot\text{m}^{-3}$ )	$E_d$ ( $\mu\text{m}$ )
20201010	11:53–15:50	1203-1652		355 $\pm$ 157	586 $\pm$ 328	0.45 $\pm$ 0.30	12.25 $\pm$ 1.92
20201011	14:26–17:53	1261-1542		636 $\pm$ 290	529 $\pm$ 350	0.19 $\pm$ 0.14	9.45 $\pm$ 1.30
20201025	09:34–12:58	1076-3298		9 $\pm$ 31	38 $\pm$ 35	0.18 $\pm$ 0.15	26.96 $\pm$ 9.80
20201026	09:53–13:29	1367-3146		5 $\pm$ 19	35 $\pm$ 27	0.10 $\pm$ 0.09	21.86 $\pm$ 8.77
20201028	14:05–17:27	1664-2729		239 $\pm$ 229	354 $\pm$ 502	0.45 $\pm$ 0.43	16.90 $\pm$ 9.54
20201029	10:05–13:33	516-3266		1402 $\pm$ 569	396 $\pm$ 289	0.17 $\pm$ 0.16	9.86 $\pm$ 2.54
20201101	18:17–22:06	1661-2715		333 $\pm$ 170	199 $\pm$ 80	0.35 $\pm$ 0.17	17.93 $\pm$ 4.71
20201102	14:04–17:41	696-3145		177 $\pm$ 174	136 $\pm$ 97	0.22 $\pm$ 0.15	17.45 $\pm$ 3.51

Detailed data of this aircraft observation activity, including observation date, time, cloud thickness and microphysical quantities, are summarized in Table 1. Compared with aircraft observation data in other regions, the average LWC in Guangxi was higher, 5.33 times that in North China, and the average cloud droplet diameter was larger, 2.58 times that in North China (Zhao et al., 2011). Compared with the Marine Stratocumulus (Lu et al., 2011; Miles et al., 2000), the Stratocumulus in Guangxi had higher cloud base height and greater cloud thickness. The cloud microphysical characteristics of the stratocumulus observed in this study are similar to those of previous observations. Compared with stratocumulus (non-precipitation warm cloud) over eastern China, the  $N_c$ , LWC and  $E_d$  of stratocumulus in Guangxi region were larger. According to previous studies (Liu et al., 2024), there were no special weather processes in the upper air and on the ground in Guangxi during the observation period, which ensured the quality of the data and the universality of the conclusions.

To ensure data quality, this study selected the data that met the following conditions and the flight macro record as the in-cloud data:  $N_c \geq 10 \text{ cm}^{-3}$ ,  $LWC \geq 10^{-3} \text{ g} \cdot \text{m}^{-3}$  (Gunthe et al., 2009; Zhang et al., 2011). The observation records show that the clouds during the observation period were stratocumulus clouds (non-precipitation warm clouds). Therefore, the aerosol and cloud microphysical data met the following conditions: observation height  $\leq 4000 \text{ m}$ ,  $T > 0 \text{ }^\circ\text{C}$ . The height of PBL is determined by applying the gradient method to the vertical distribution of potential temperature (Kim et al., 2007; Su et al., 2017).

The microphysical quantities such as  $N_c$ , LWC and  $E_d$  are calculated from the cloud droplet spectrum data detected by FCDP. The calculation formulas are as follows:

$$N_c = \sum n_i \quad (1)$$

$$LWC = \sum \frac{4}{3} \pi r_i^3 \rho_w n_i \quad (2)$$

$$E_d = 2 \frac{\sum n_i r_i^3}{\sum n_i r_i^2} \quad (3)$$

In the formulas,  $n_i$  is the cloud number concentration for each bin.  $r_i$  is the median particle size for each bin.  $\rho_w$  is the density of water.

Define the relative heights of the cloud as  $Z_n$ :

$$Z_n = \frac{Z - Z_{\text{base}}}{Z_{\text{top}} - Z_{\text{base}}} \quad (4)$$

In the formula,  $Z_{\text{base}}$  is the height of the cloud base, and  $Z_{\text{top}}$  is the height of the cloud top. The cloud heights have been normalized by setting the cloud base as 0 and the cloud top as 1.

Similar to previous studies, the first indirect effect of aerosol or Twomey effect of aerosols and clouds is defined as:

$$\text{FIE} = - \left( \frac{\Delta \ln E_d}{\Delta \ln \alpha} \right)_{\text{LWC}} \quad (5)$$

In the formula,  $\alpha$  represents the physical quantity of aerosols, which can be quantified using aerosol optical depth (Feingold et al., 2001), aerosol extinction coefficient (Feingold et al., 2003), cloud condensation nuclei concentration, and aerosol number concentration (Che et al., 2021; Zhao et al., 2012; Zhao et al., 2018). The FIE value may vary with the variables that represent the amount of aerosols.

## 2.2. Reanalyze data

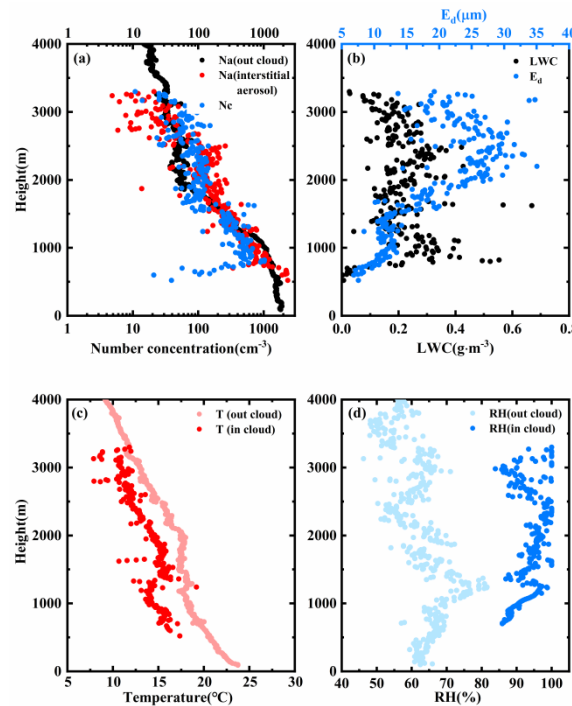
The vertical pressure velocity ( $\text{Pa} \cdot \text{s}^{-1}$ ) was obtained from MERRA2, with a spatial resolution of  $0.625^\circ \times 0.5^\circ$  and 42 layers and a temporal resolution of 3 hours. The data from the first to the twenty-third layers, corresponding to pressure altitudes from 1000 hPa to 200 hPa, were selected, covering the maximum altitude of aircraft observations. An average calculation was performed to obtain the vertical pressure velocity for the Guangxi region from 08:00 to 20:00 during the observation period, reflecting the temporal variation characteristics of vertical airflow above the region. This dataset has been used in several studies (Ge et al., 2021; Kennedy et al., 2011; Painemal et al., 2021).

## 3. Results and discussion

### 3.1 Vertical distribution characteristics of cloud microphysical quantities

Based on the criteria of  $N_c \geq 10 \text{ cm}^{-3}$  and  $\text{LWC} \geq 10^{-3} \text{ g} \cdot \text{m}^{-3}$ , aerosol, cloud droplet, and meteorological data were distinguished between inside and outside the cloud. The vertical averages were calculated at 10 m height intervals, resulting in the vertical distributions of physical quantities from 9 observation flights, covering a height range of 0-4000 m and ensuring consistent vertical resolution for each physical quantity. Subsequently, the average vertical distribution of physical quantities from the 9 observation flights was calculated, leading to the vertical distribution diagrams

of each physical quantity during the observation period, as shown in Fig. 1. The average vertical profiles of Na (interstitial aerosol, aerosol particles too small to activate to cloud droplets), Na (out cloud) (Fig. 1a), cloud microphysical quantities (Fig. 1b) and meteorological elements (Fig. 1c-d) during the observation period was obtained. Na (interstitial aerosol) decreased gradually with height and was affected by aerosols in the atmospheric environment. Below 1500 m, Nc first decreased and then stabilized with increasing height, following a trend similar to that of Na. This indicates that the number of cloud condensation nuclei capable of activating cloud droplets diminishes as altitude increases. Compared to the upper atmosphere (above 1500 m), there were more cloud condensation nuclei in the lower atmosphere, resulting in an average Nc value of  $407 \text{ cm}^{-3}$ . Between 1500 m and 3300 m, Nc showed little variation with height, remaining concentrated around  $100 \text{ cm}^{-3}$  at each altitude (Fig.1a). The low Nc observed at certain altitudes may be due to the observation area being close to the edge of the cloud.



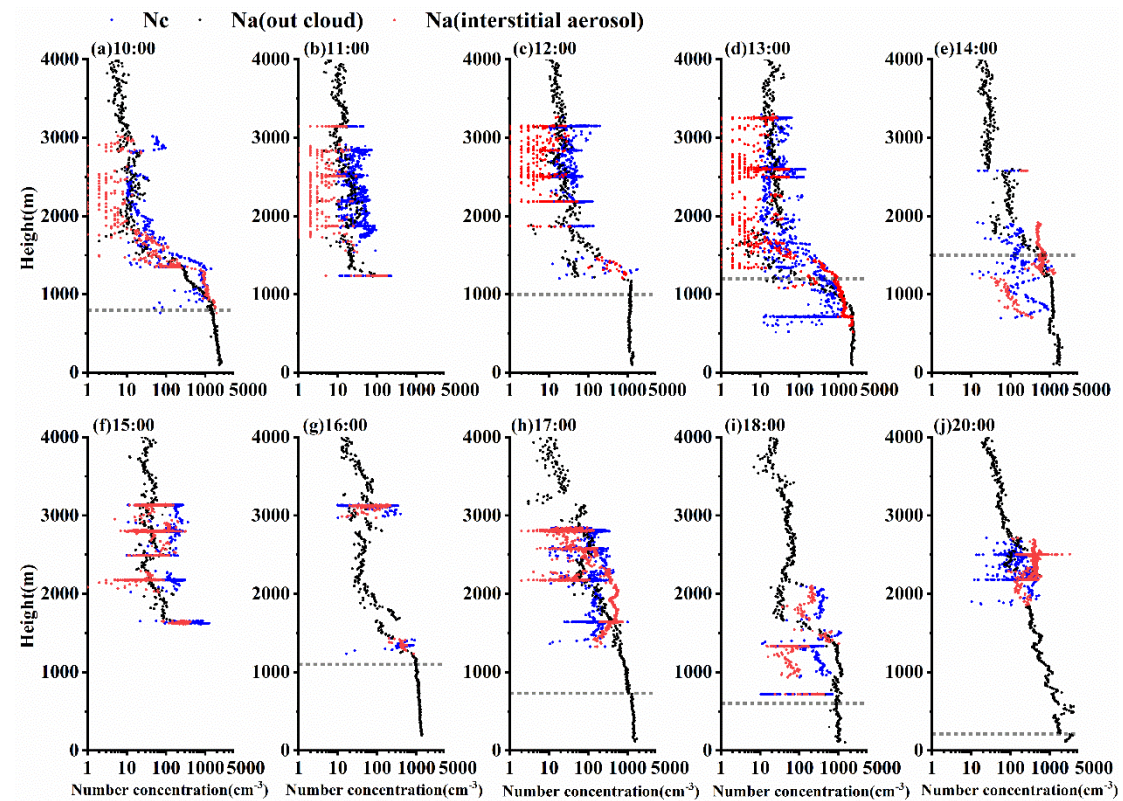
**Fig. 1** Average vertical profiles of cloud interstitial aerosol concentration, outside aerosol number concentration, cloud droplet concentration (a), LWC, effective diameter of cloud droplet (b), temperature inside and outside cloud (c), and relative humidity inside and outside cloud (d) during the observation period

With the increase in height,  $E_d$  first increased, then remained unchanged and then increased (Fig. 1b). A large number of cloud droplets competed for water vapor below 1500 m, which is not conducive to the growth of cloud droplets, so the average  $E_d$  was only  $11.21 \mu\text{m}$ . In Guangxi, the top of PBL during autumn ranges from 1000 to 1500 m (Fig.1c), where temperature inversion layers



occur. This temperature structure increases the stability of the air, suppressing the formation of vertical airflow and hindering the growth of cloud droplets. Above 1500 m,  $N_c$  was lower than the near ground, and the lower atmospheric temperature was conducive to increasing cloud droplet particle size. The average value of  $E_d$  reached  $22.78 \mu\text{m}$ . The value of LWC was independent of height, with an average value of  $0.22 \text{ g}\cdot\text{m}^{-3}$  in Guangxi (Fig. 1b). RH is consistently above 60 %, making it likely for the air to reach saturation and lead to cloud formation.

### 3.2 Time variation of the vertical distribution of cloud microphysical quantities



**Fig. 2** Vertical profiles of cloud interstitial aerosol concentration, outside aerosol number concentration, and cloud droplet concentration at different times (a is 10:00, b is 11:00, c is 12:00, d is 13:00, e is 14:00, f is 15:00, g is 16:00, h is 17:00, i is 18:00, j is 20:00, the black dashed line represents the height of PBL)

The data were classified to understand the time variation of the vertical distribution of cloud microphysical quantities. Vertical profiles of interstitial aerosol ( $N_a$ ),  $N_a$  outside the cloud (Fig. 2), cloud microphysical quantities (Fig. 3), and meteorological elements inside and outside the cloud (Fig. 4) were obtained at ten times from 10:00 to 18:00 and at 20:00. The data collected inside the cloud were original, while the average values outside the cloud were calculated at 10 m intervals.

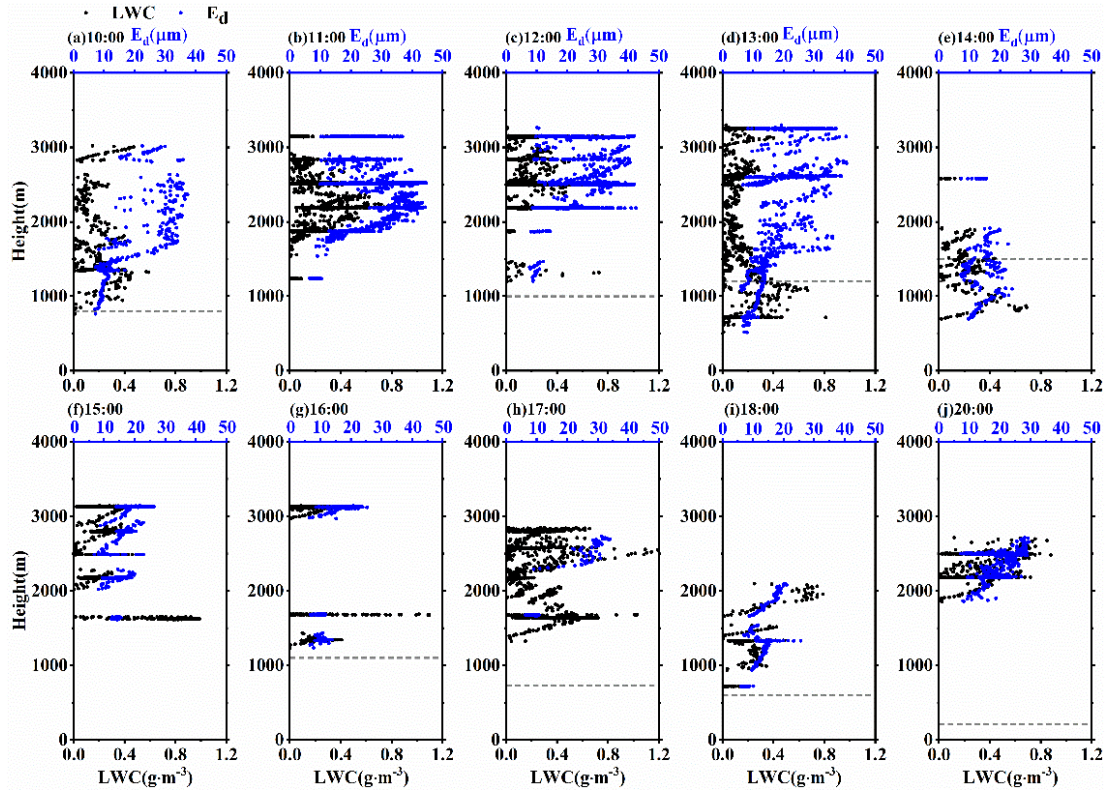


Fig. 3 Vertical profiles of liquid water content and effective diameter of cloud droplets at different times (a 10:00, b 11:00, c 12:00, d 13:00, e 14:00, f 15:00, g 16:00, h 17:00, i 18:00, j 20:00, the black dashed line represents the height of PBL)

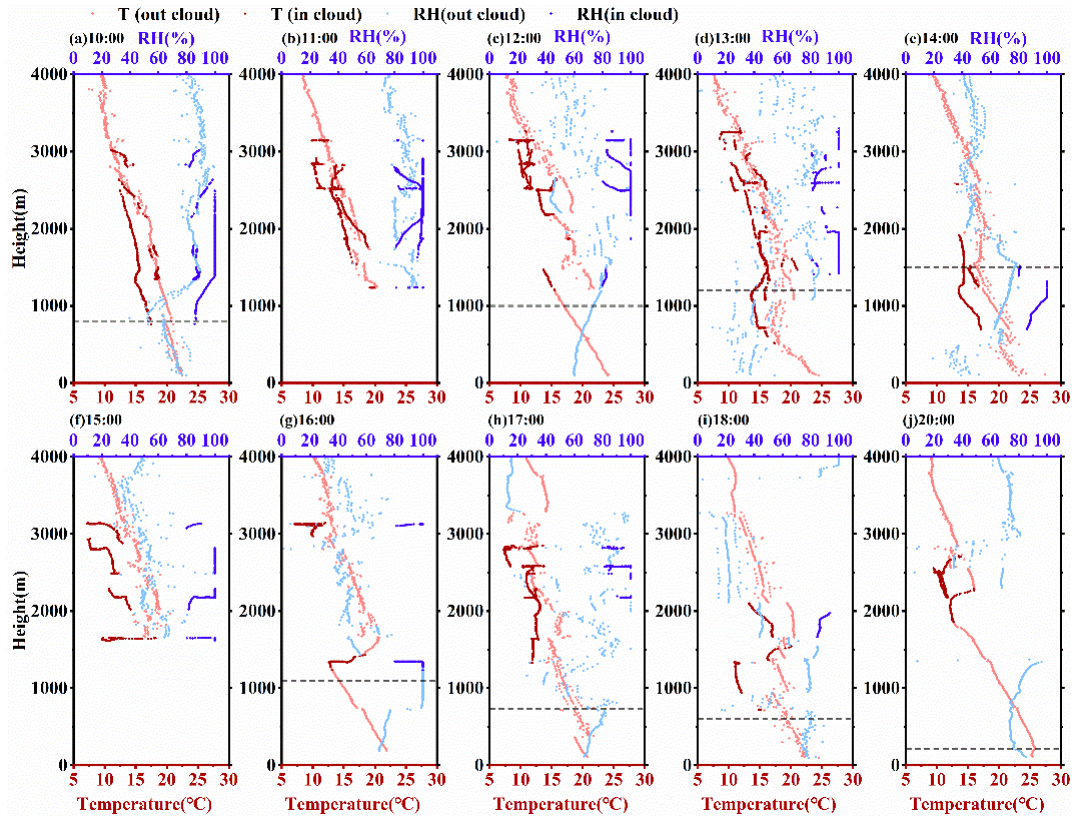


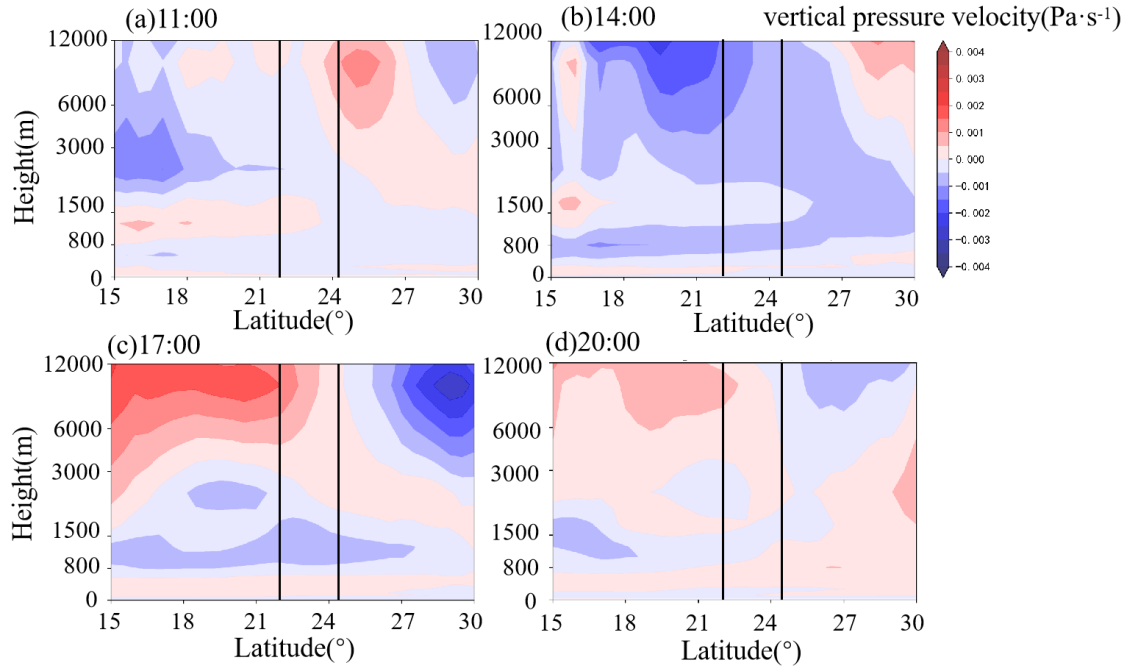
Fig. 4 Vertical profiles of temperature inside and outside the cloud, relative humidity inside and outside the cloud

at different times (a is 10:00, b is 11:00, c is 12:00, d is 13:00, e is 14:00, f is 15:00, g is 16:00, h is 17:00, i is 18:00, j is 20:00, the black dashed line represents the height of PBL)

At 10:00,  $N_c$  below 900 m was less than  $100 \text{ cm}^{-3}$ , and  $N_a$  in PBL was high (Fig. 2a). Although there were sufficient aerosols that can be activated into cloud condensation nuclei,  $RH > 60 \%$ , the atmospheric temperature was high, which was not conducive to the activation of small-size aerosol particles (Fig. 3a). At the same time, LWC was low, and the condensed cloud droplets are difficult to grow, and the average  $E_d$  is only  $8.01 \text{ }\mu\text{m}$  (Fig. 3a). Between 900m and 1500 m, there were not only sufficient cloud condensation nuclei but also sufficient water vapor and temperature conditions, which are conducive to the formation of cloud droplets. The average  $N_c$  and  $E_d$  increased to  $430 \text{ cm}^{-3}$  and  $11.15 \text{ }\mu\text{m}$ . Above 1500 m, although the water vapor condition was sufficient ( $LWC = 0.16 \text{ g}\cdot\text{m}^{-3}$ ), the cloud condensation nucleus was few, resulting in an average  $N_c$  value of only  $35 \text{ cm}^{-3}$ . However, sufficient LWC was conducive to the growth of cloud droplets, and  $E_d$  was significantly higher than clouds below 1500 m, with  $E_d$  ranging from  $13.82$  to  $37.26 \text{ }\mu\text{m}$ . At 1500 m,  $N_a$  (interstitial aerosol) was  $34 \text{ cm}^{-3}$ , increasing to  $134 \text{ cm}^{-3}$  at 1600 m.  $RH$  remained nearly constant in this range, while LWC rose from  $0.16$  to  $0.19 \text{ g}\cdot\text{m}^{-3}$ , promoting the hygroscopic growth of aerosols. However,  $N_c$  did not show a significant increase. Thus, the temperature inversion layer (Fig. 4a) within the cloud may contribute to the rise in  $N_a$  (interstitial aerosol). This increase suggests more aerosols are inactive or unable to activate within the cloud. These aerosols may result from mixing warm air from outside the cloud at the cloud base (Lu et al., 2011). Furthermore, the temperature inversion layer may hinder vertical airflow within the cloud, suppressing cloud droplet growth.

At 11:00, aerosols were transported by updrafts (Fig. 5a) to around 1500 m (near the top of PBL) and activated into cloud condensation nuclei. Below 1500 m, the average  $N_c$  value was  $102 \text{ cm}^{-3}$  (Fig. 2b), while the average LWC value was only  $0.03 \text{ g}\cdot\text{m}^{-3}$  (Fig. 3b). Cloud droplets were competing for water vapor. The  $E_d$  value was only  $8.20 \text{ }\mu\text{m}$ , similar to the cloud microphysical characteristics near the PBL at 10:00. Between 1500 m and 3150 m,  $N_a$  was less than  $10 \text{ cm}^{-3}$ , indicating insufficient CCN, and the average  $N_c$  was only  $29 \text{ cm}^{-3}$ . Compared to 10:00, the LWC was higher (mean  $0.19 \text{ g}\cdot\text{m}^{-3}$ ), resulting in a larger  $E_d$  in the upper part of the cloud, with an average of  $28.95 \text{ }\mu\text{m}$ .





**Fig 5** Latitudinal profiles of vertical pressure velocity at different times in Guangxi (solid black line is the latitude range observed by aircraft, and a positive value is downdraft, a negative value is updraft, a is 11:00, b is 14:00, c is 17:00, d is 20:00)

At 12:00, the height of PBL top rose to 1000 m, the near-surface aerosol was transported to 1200-1500 m (Fig. 2c, the mean value of  $N_a$  outside the cloud was  $578 \text{ cm}^{-3}$ ), the mean value of  $N_c$  reached  $399 \text{ cm}^{-3}$ , and the mean value of  $E_d$  was only  $9.41 \text{ }\mu\text{m}$  (Fig. 3c), higher than 11:00. Stratocumulus clouds above 1800 m had low  $N_c$  (mean  $35 \text{ cm}^{-3}$ ) and large  $E_d$  (mean  $26.14 \text{ }\mu\text{m}$ ).

At 13:00, the  $N_c$  ranged from 13 to  $2052 \text{ cm}^{-3}$  below 1200 m (Fig. 2d), which may be attributed to the uneven development of clouds within the detection range. The increase in solar radiation leads to high near-surface temperatures (Fig. 4d,  $T > 25 \text{ }^\circ\text{C}$ ), which enhances turbulent activity within the PBL and is favorable for cloud droplet formation. Therefore,  $N_c$  at 13:00 was larger than that at 10:00, and many cloud droplets hindered their particle size growth, with an average  $E_d$  value of  $9.23 \text{ }\mu\text{m}$  (Fig. 3d). From 1200 m to 1500 m, the mean values of  $N_c$  and  $E_d$  were  $155 \text{ cm}^{-3}$  and  $12.29 \text{ }\mu\text{m}$ . At this height, a strong temperature inversion layer appeared (Fig. 4d), and cloud droplet evaporation activity was enhanced (Li et al., 2003), resulting in a higher  $N_a$  (interstitial aerosol) than  $N_a$  (out cloud). For Stratocumulus clouds above 1500 m, the  $N_c$  varied little with height, and the average  $E_d$  was  $21.45 \text{ }\mu\text{m}$ .

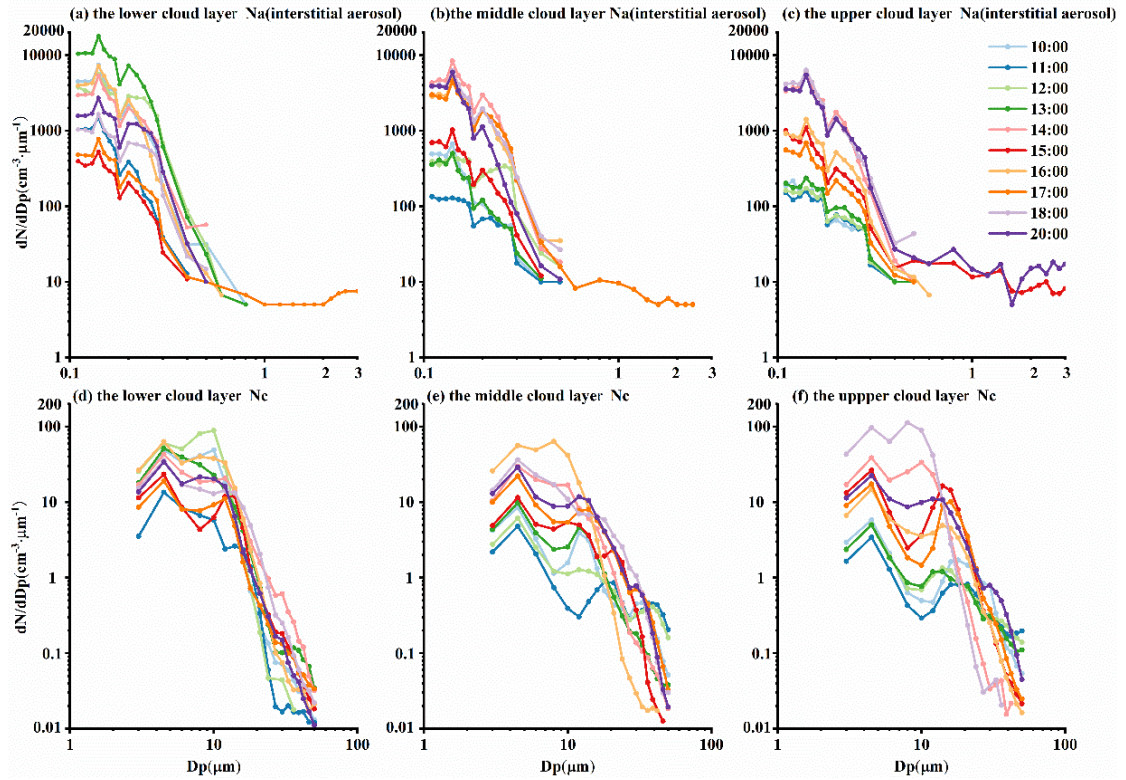
At 14:00, the  $N_c$  range below 1500 m was 11 to  $1109 \text{ cm}^{-3}$  (Fig. 2e), with the highest PBL top height at 1500 m, which diluted the  $N_a$  (out of the cloud) within the PBL, resulting in a decrease in

the maximum  $N_c$  ( $N_c = 1109 \text{ cm}^{-3}$ ). The average LWC was  $0.29 \text{ g}\cdot\text{m}^{-3}$  (Fig. 3e), higher than at 13:00, providing moisture conditions for cloud droplet growth, while the upward airflow was strong (Fig. 5b). Consequently, the average  $E_d$  was  $13.75 \text{ }\mu\text{m}$ . A temperature inversion layer was present at 2500 m (Fig. 4e), hindering aerosol diffusion and enhancing the evaporation of cloud droplets near the cloud top, leading to a peak in  $N_a$  (interstitial aerosol) at that height.

At 15:00, the  $N_c$  and  $N_a$  (interstitial aerosol) between 1600 m and 2000 m were higher than those at 14:00 with average values of  $720 \text{ cm}^{-3}$  and  $249 \text{ cm}^{-3}$  (Fig. 2f). Due to the increase in  $N_c$ , the average  $E_d$  was only  $13.72 \text{ }\mu\text{m}$  (Fig. 3f). The increase in  $N_a$  (out cloud) above 2000 m provided CCN, resulting in an average  $N_c$  of  $146 \text{ cm}^{-3}$ . Although the moisture conditions were sufficient, with an average LWC of  $0.23 \text{ g}\cdot\text{m}^{-3}$ , which was higher than the  $0.05 \text{ g}\cdot\text{m}^{-3}$  recorded at 14:00 (Fig. 3f), and RH was 52% (Fig. 4f), the average  $E_d$  decreased to  $16.73 \text{ }\mu\text{m}$ . This decrease was due to the competition for moisture among cloud droplets, which led to an increase in small particle-size cloud droplets.

At 16:00,  $N_c$  and  $N_a$  (interstitial aerosol) below 2000 m were relatively large, 458 and  $468 \text{ cm}^{-3}$ , respectively (Fig. 2g). The temperature inversion layer at the top of PBL hinders the condensation growth of cloud droplets. The average  $E_d$  was only  $11.00 \text{ }\mu\text{m}$  (Fig. 3g). Similar to the observations at 15:00,  $N_a$  (out cloud) and  $N_a$  (interstitial aerosol) near 3000 m were higher. The low temperature ( $T = 7.75 \text{ }^\circ\text{C}$ ) and high humidity ( $\text{RH} = 70 \text{ }\%$ ) of the cloud environment (Fig. 4g) were conducive to the activation of aerosol. The maximum value of  $N_c$  reached  $395 \text{ cm}^{-3}$ . However, the average of  $E_d$  was only  $17.13 \text{ }\mu\text{m}$  due to water vapor contention between cloud droplets.

At 17:00, the height of PBL decreased to 730 m. Aerosols were transported above the PBL (Fig. 2h), providing CCN above 2000 m.  $N_c$  remained constant with an average of  $134 \text{ cm}^{-3}$  (Fig. 2h), while  $E_d$  averaged  $17.12 \text{ }\mu\text{m}$  (Fig. 3h). Under the cooling of the atmosphere and the cooling of the cloud tops at sunset, the  $E_d$  near the cloud tops is greater than  $30 \text{ }\mu\text{m}$ . The temperature inversion layer of 1600-2000 m (Fig. 4h) enhanced cloud droplet growth and hindered aerosol diffusion, causing the  $N_a$  (interstitial aerosol) to be higher than the  $N_a$  out cloud.



**Fig 6** Cloud interstitial aerosol number concentration spectrum and cloud droplet number concentration spectrum (a-c is the aerosol spectrum of lower cloud, middle cloud and upper cloud, and d-f is the cloud droplet spectrum of lower cloud, middle cloud and upper cloud, respectively)

At 18:00, the height of PBL decreased to 500 m, resulting in the accumulation of aerosols between 900 m and 1400 m (Fig. 2i), which led to the formation of small particle-size cloud droplets, with an average  $N_c$  of  $273 \text{ cm}^{-3}$  and an average  $E_d$  of  $16.67 \text{ μm}$  (Fig. 3i). Similar to the observations at 17:00, the atmospheric temperature above 1400 m was high (Fig. 4i), and cloud droplet evaporation caused  $N_a$  (interstitial aerosol) to be close to or greater than  $N_a$  (out cloud).

At 20:00, there were upward flows between 1000 and 1500 m (Fig. 5d). The abundance of CCN and low temperature (Fig. 4j) promoted the formation and growth of cloud droplets. The average  $N_c$  was  $194 \text{ cm}^{-3}$  (Fig. 2j), higher than the  $N_c$  observed from 10:00 to 13:00. LWC and  $E_d$  gradually increased with height (Fig 3j). LWC rose from  $0.02 \text{ g} \cdot \text{m}^{-3}$  to  $0.64 \text{ g} \cdot \text{m}^{-3}$ .  $E_d$  increased from  $7.52 \text{ μm}$  to  $29.59 \text{ μm}$ .

The cloud height is normalized, and the relative height of the cloud is set as  $Z_n$  ( $0 \leq Z_n \leq 1$ ).  $Z_n < 0.33$  is the lower cloud layer,  $0.33 \leq Z_n < 0.67$  is the middle cloud layer, and  $Z_n \geq 0.67$  is the upper cloud layer. The concentration spectra of cloud interstitial aerosol numbers (Fig. 6a-c) and cloud droplet numbers (Fig. 6d-f) at different locations at different times were obtained.

From 10:00 to 13:00, the interstitial aerosol particle size in the cloud's lower layer was

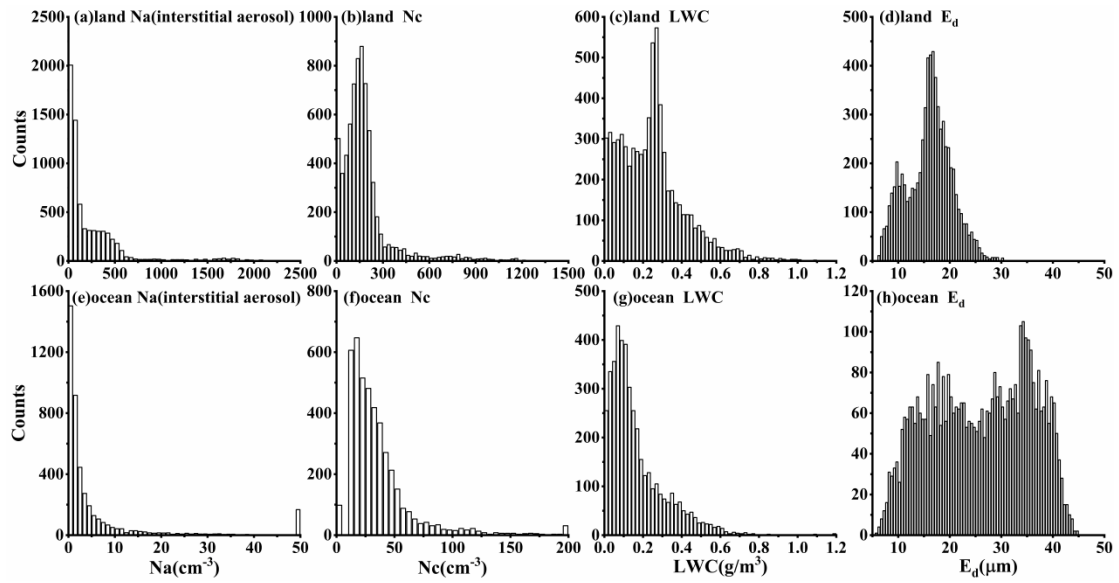
concentrated below  $0.4\ \mu\text{m}$ . In comparison, the cloud droplet diameter was primarily concentrated below  $20\ \mu\text{m}$ , with few large particle-size cloud droplets (Fig. 6a,6d). In the middle cloud layer,  $N_a$  across all particle size ranges had decreased to below  $1000\ \text{cm}^{-3}\cdot\mu\text{m}^{-1}$ .  $N_c$  for particles smaller than  $20\ \mu\text{m}$  has decreased, while  $N_c$  for particles larger than  $20\ \mu\text{m}$  exceeded  $0.1\ \text{cm}^{-3}\cdot\mu\text{m}^{-1}$  (Fig. 6b, 6e).  $N_a$  in the upper cloud layer was minimal compared to the middle and lower layers. Sufficient water vapor ( $\text{LWC} = 0.14\ \text{g}\cdot\text{m}^{-3}$ , Fig.3a-c) and low temperature ( $T = 11.72\ ^\circ\text{C}$ , Fig.4a-c) promote the growth of cloud droplets, resulting in fewer  $N_c$  for particles larger than  $20\ \mu\text{m}$  in the upper layer (Fig. 6c, 6f) compared to the middle layer.

From 14:00 to 16:00, aerosols diffused upward with the increase in PBL, leading to a decrease in  $N_a$  in the cloud's lower layer (Fig. 6a,6d). The upward transport of aerosols caused the upper-level  $N_a$  of the cloud to be higher than that observed from 10:00 to 13:00. This change increased the  $N_c$  of droplets with diameters greater than  $20\ \mu\text{m}$  (Fig. 6b-c, 6e-f). Newly formed cloud droplets competed for water vapor.  $N_c$  of droplets larger than  $30\ \mu\text{m}$  decreased, while  $N_c$  of smaller droplets increased.

From 17:00 to 20:00, the height of PBL decreased.  $N_a$  increased, and  $N_c$  of large droplets decreased. Aerosols retained at the top of PBL provided CCN for the cloud's middle and upper layers (Fig. 6b-c, 6e-f). During this period,  $N_c$  was higher than observed from 10:00 to 13:00. The increase in  $N_c$  may be attributed to the rise in  $N_c$  of droplets smaller than  $20\ \mu\text{m}$ .

### 3.3 Verification of the Twomey Effect

Previous studies have shown two sources of aerosols in Guangxi, namely the land and the ocean, where air masses from land will bring higher aerosol particle number concentrations (Liu et al., 2024). According to the classification of air mass sources, the frequency distributions of  $N_a$  (interstitial aerosol),  $N_c$ ,  $\text{LWC}$  and  $E_d$  under the influence of land and ocean air masses were obtained (Fig. 7).



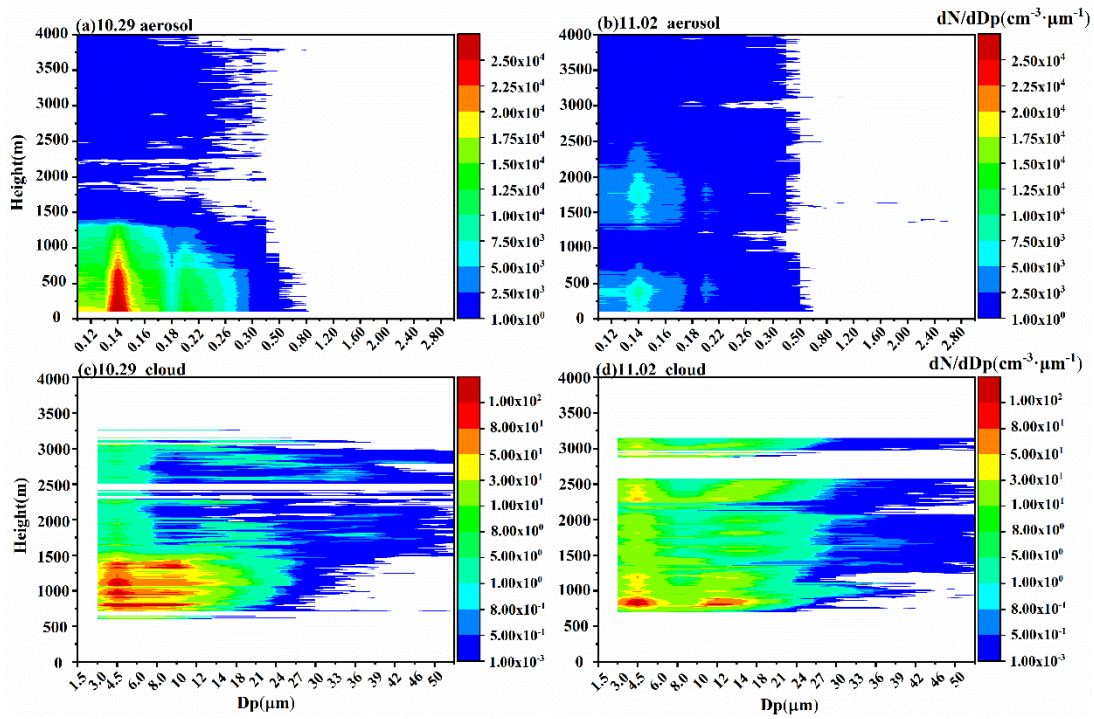
**Fig. 7** The distributions of cloud interstitial aerosol number concentration (a and e), cloud droplet number concentration (b and f), LWC (c and g) and cloud droplet effective diameter (d and h) under different air mass sources, **the y-axis represents the number of samples.**

Under the influence of land air mass, Na (interstitial aerosol) was less than  $500 \text{ cm}^{-3}$ , and Nc was high. The frequency distribution of Ed was unimodal, mainly concentrated in the range of 16-18  $\mu\text{m}$  (Fig. 7a). Under the influence of ocean air mass, Na (interstitial aerosol) was mainly less than  $20 \text{ cm}^{-3}$ . Nc was primarily distributed in the range of 10 to  $50 \text{ cm}^{-3}$ . Ed was significantly higher than that under the influence of land air mass. Ed presented a bimodal distribution with peak values of 17.75 and 34.25  $\mu\text{m}$  (Fig. 7b).

In addition to the influence of the air mass source, the vertical distribution of Na is also affected by PBL. We selected two aircraft observation data on October 29 and November 02 to analyze the influence of PBL on the cloud microphysical quantities. **The observed cloud base height was lower than the heights of PBL**, and the cloud top height was  $> 1500 \text{ m}$ . **The clouds crossed the top of PBL**, and the cloud thickness was similar (about 2500 m).

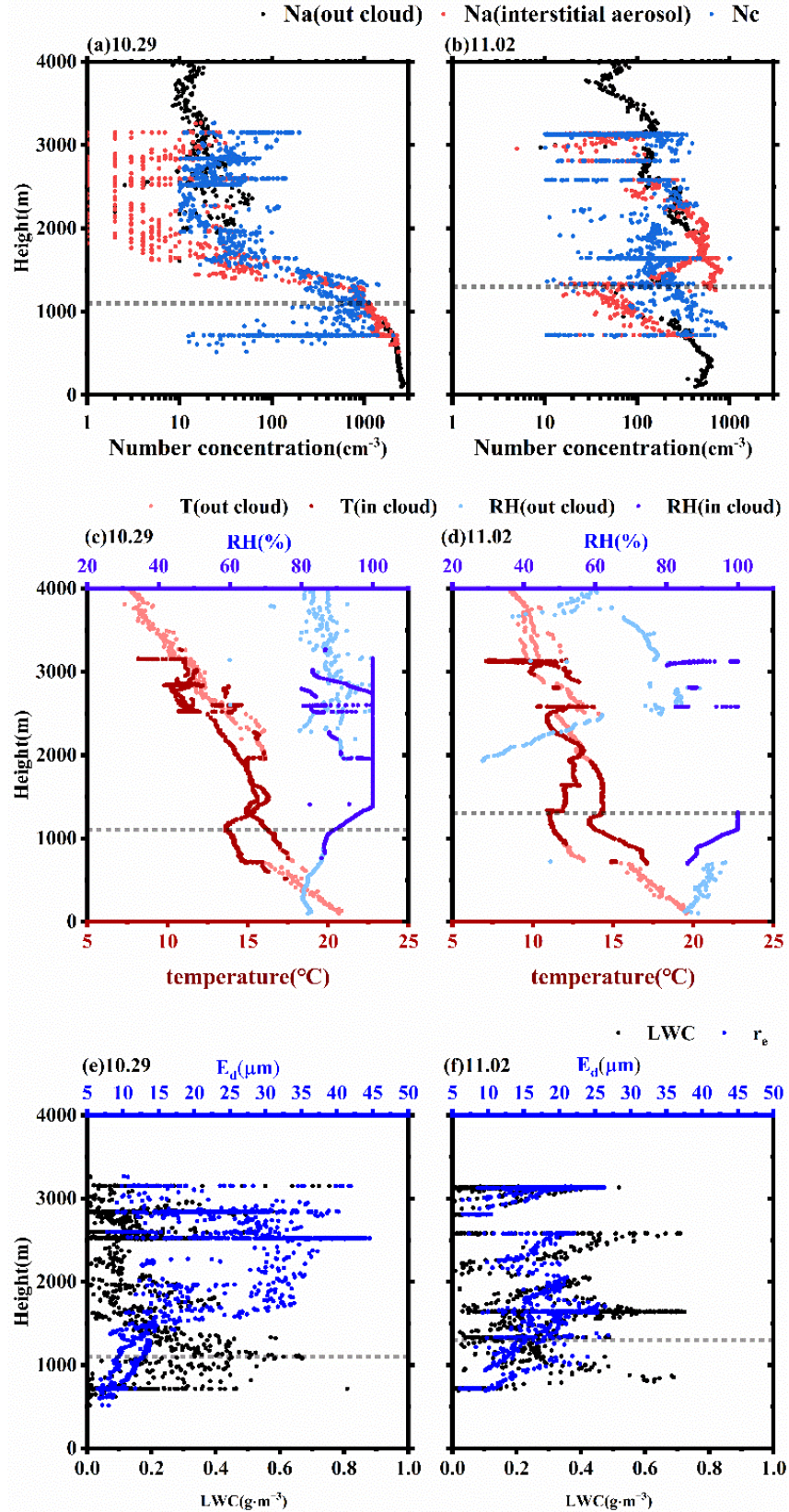
According to the vertical profiles of the aerosol number concentration spectrum (Fig. 8a-b), there were significant differences between the two Na profiles. **In the height affected by the PBL** (below 1500 m), aerosol pollution occurred on October 29 ( $\text{Na} > 1000 \text{ cm}^{-3}$ ), and the atmosphere was clean on November 2 ( $\text{Na} < 600 \text{ cm}^{-3}$ ). **In the upper atmosphere (above 1500 m)**, aerosol pollution ( $\text{Na} < 200 \text{ cm}^{-3}$ ) occurred on November 2 compared to October 29 ( $\text{Na} < 100 \text{ cm}^{-3}$ ).





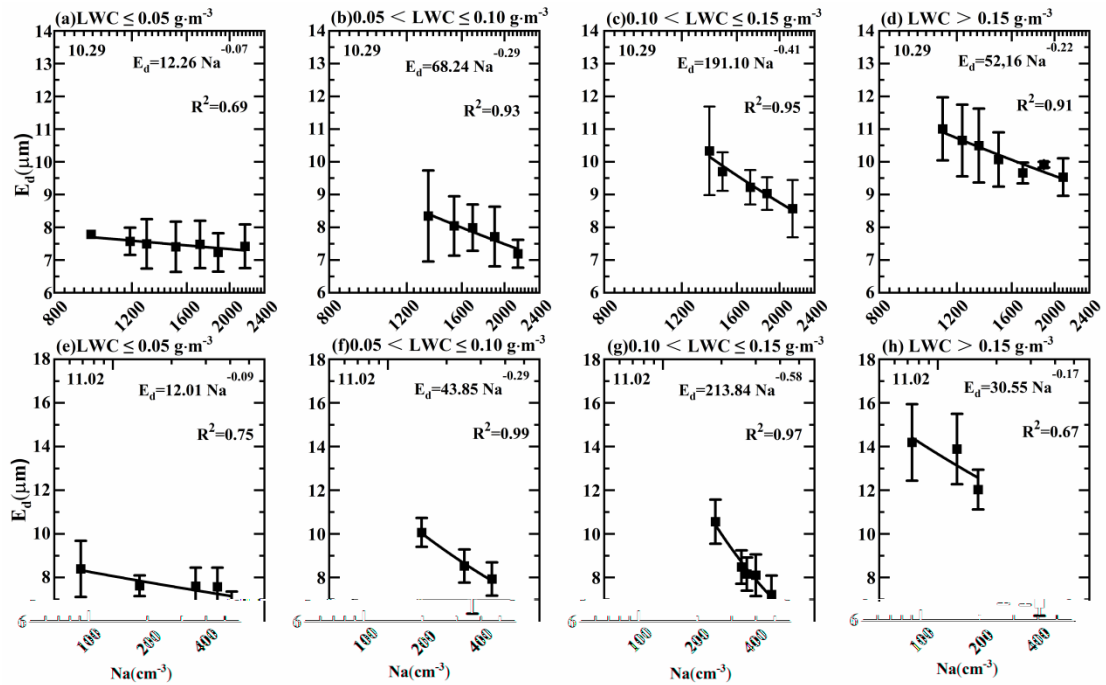
**Fig. 8** Vertical profiles of aerosol number concentration spectra (a and b) and cloud droplet number concentration spectra (c and d) on 29 October and 2 November

On October 29, the aerosol pollution in PBL was severe (Fig.9a,  $N_a = 1331 \text{ cm}^{-3}$ ). The aerosol number concentration spectrum exhibited a bimodal distribution, with peak diameters of 0.14 and 0.22  $\mu\text{m}$  (Fig.8a). The atmosphere contained sufficient CCN, resulting in a large  $N_c$  (Fig.9a,  $N_c = 460 \text{ cm}^{-3}$ ). As shown in the cloud droplet number concentration spectrum (Fig. 8c), most cloud droplets were concentrated in the size range of 3-24  $\mu\text{m}$  (Fig.8c).  $E_d$  was 9.69  $\mu\text{m}$  (Fig. 9e), primarily because many cloud droplets competed for water vapor, making it difficult for them to grow into larger droplets. A strong inversion layer at 1500 m (Fig.9c) hindered the upward transport of aerosols. Consequently,  $N_a$  above 1500 m was low, leading to a reduced  $N_c$ , with an average of only  $35 \text{ cm}^{-3}$ . Fig. 8c showed that cloud droplet sizes within the PBL primarily range from 8 to 21  $\mu\text{m}$ . In contrast, above the PBL, cloud droplet sizes are mainly distributed below 8  $\mu\text{m}$  and above 21  $\mu\text{m}$ , with an average effective diameter ( $E_d$ ) of 25.28  $\mu\text{m}$  (Fig. 9e). These large particle-size cloud droplets likely originated from the collision and growth of droplets within the 8.0 to 21  $\mu\text{m}$  range.



**Fig. 9** Vertical profiles of outside aerosol concentration, cloud intercloud aerosol concentration, cloud droplet concentration (a and b), temperature inside and outside the cloud, relative humidity inside and outside the cloud (c and d), LWC, and effective droplet diameters (e and f) on October 29 and November 2, the black dashed line represents the height of PBL.

On November 2, Na in PBL (Fig. 9b,  $\text{Na} = 405 \text{ cm}^{-3}$ ) was slightly higher than Na in the upper air ( $\text{Na} = 220 \text{ cm}^{-3}$ ). The Nc in PBL ( $\text{Nc} = 243 \text{ cm}^{-3}$ ) was higher than that above PBL ( $\text{Nc} = 124 \text{ cm}^{-3}$ ). The concentration spectra of cloud droplet numbers exhibited a bimodal distribution (Fig. 8d). The presence of a large number of small cloud droplets in the PBL hinders the growth of larger droplets, resulting in a lower number of large cloud droplets ( $D_p > 18 \mu\text{m}$ ) in the PBL compared to the upper air.  $E_d$  in PBL (Fig. 9f,  $E_d = 12.89 \mu\text{m}$ ) was lower than in the upper air ( $E_d = 17.94 \mu\text{m}$ ). The inversion layer (Fig. 9d, about 750 m in thickness) above the top of PBL enhanced the evaporation activity of cloud droplets, leading to a lower Nc at this height compared to other heights and a higher Na (interstitial aerosol) than that observed at other heights.



**Fig. 10** Correlation between aerosol number concentration and effective droplet diameter in the range of 0-0.05, 0.05-0.10, 0.10-0.15 and  $> 0.15 \text{ g} \cdot \text{m}^{-3}$  LWC (a-d are October 29, e-f are November 2,  $R^2$  is the correlation coefficient. The significance level  $\alpha$  was set at 0.05, and the P-value  $< 0.05$  was obtained.)

To understand whether the relationship between aerosol and cloud in Guangxi is consistent with the Twomey effect, we classified the in-cloud data below 1000 m on October 29 and November 2. We calculated the FIE index of LWC in different ranges (Fig. 10). The equation in the panel represented a fitted curve for the data, indicating the relationship between Na and  $E_d$ . The relationship between Na and  $E_d$  can be expressed as  $E_d = \text{Na}^{\text{FIE}}$ . The results showed that Na and  $E_d$  were always negatively correlated regardless of low LWC condition or high LWC condition. Therefore, the relationship between aerosol and stratocumulus in Guangxi is consistent with the

Twomey effect, and  $E_d$  decreases with the increase of  $N_a$ .

#### 4. Conclusion

This study provides the vertical profiles of stratocumulus microphysical quantities, number concentration spectrum and meteorological parameters over Guangxi in autumn using the aircraft observation data of 9 sorties. The temporal variation of cloud microphysical characteristics at different altitudes are described, and the effects of air mass source on cloud microphysical quantities are discussed. The results are as follows.

(1) Below 1500 m in Guangxi,  $N_a$  and  $N_c$  gradually decreased with the increase in altitude. Aerosols were mainly concentrated under PBL.  $N_c$  was large, with an average of  $407 \text{ cm}^{-3}$ . Between 1500 m and 3300 m, the value of  $N_a$  remained low, with  $N_c$  staying below  $200 \text{ cm}^{-3}$  and not changing with height. With the increase in height,  $E_d$  first increased, then remained constant, and finally increased again. The  $E_d$  at the cloud top was 2.75 times that at the cloud base. The inversion layer at the top of PBL hindered the increase in the cloud droplet particle size. Compared with other regions in China, LWC was high, with an average value of  $0.22 \text{ g}\cdot\text{m}^{-3}$ , and LWC variation was independent of height.

(2) The vertical distribution of microphysical quantities of stratocumulus in autumn in this region had noticeable temporal variation, mainly influenced by the temporal variation of the vertical distribution of aerosols. From 10:00 to 13:00, aerosols were primarily concentrated at low altitudes, which led to smaller particle-size cloud droplets in the lower cloud layer ( $N_c = 313 \text{ cm}^{-3}$ ,  $E_d = 10.78 \mu\text{m}$ ). From 14:00 to 16:00, due to the combined effects of the lifting of the top of the PBL and updrafts, the low-level aerosols were diluted, leading to a decrease in the number of cloud droplets in the lower layer ( $N_c = 184 \text{ cm}^{-3}$ ). From 17:00 to 20:00, the descending motion and downdrafts of the PBL increased the number of small cloud droplets in the lower layer ( $E_d = 12.15 \mu\text{m}$ ). From 10:00 to 13:00,  $N_c$  in the middle and upper clouds was low, while the particle size was large. From 14:00 to 20:00, the upward transport of aerosols near the surface and the formation of a high concentration aerosol layer (600-1300 m) increased the number of small particle-size cloud droplets in the middle and upper clouds.

(3) The air mass source and PBL influenced the distribution characteristics of cloud microphysical quantities by influencing  $N_a$ .  $N_c$  under the influence of the land air mass was 5.06 times that of the ocean air mass, while  $E_d$  under the influence was 1.62 times that of the land air

mass. When there was a high number concentration of aerosols below PBL, the cloud droplet number concentration spectrum was unimodal, and the cloud droplet size was concentrated below 20  $\mu\text{m}$ . Above PBL, the cloud droplet number concentration spectrum was bimodal, and the number of large particle-size cloud droplets (cloud droplet diameter  $> 30 \mu\text{m}$ ) was more than that in PBL. The relationship between aerosol and cloud in the Guangxi region was consistent with the Twomey effect.  $E_d$  and  $N_a$  were negatively correlated in different LWC ranges, and FIE ranged from -0.07 to -0.58.

In conclusion, our findings highlight the significant influence of aerosol concentrations and air mass origins on the microphysical properties of stratocumulus clouds over Guangxi. The observed temporal and vertical variations in cloud microphysics underscore the complexity of aerosol-cloud interactions in this region. Future research should cover a comprehensive time frame, including nighttime observations, to provide a complete vertical structure of these clouds, the effects of different aerosol types, and their impact on regional climate patterns.

**Competing interests.** The contact authors have declared that none of the authors has any competing interests.

**Data availability.** All the aircraft data presented in this article can be accessed through <https://doi.org/10.5281/zenodo.13719678> (Wang, 2024). MERRA-2 data are available at <https://disc.gsfc.nasa.gov/daac-bin/FTPSubset2.pl> (Bosilovich et al., 2015).

**Author contributions.** SL, HW, DZ, and MH designed this study. WZ, YD, ZZ, and PC implemented the experiment and sample analysis. SL analysed the data and wrote the paper. HW, DZ, and TZ: Funding acquisition, Writing - review & editing. YK and ZW: Data curation. All co-authors proofread and commented on the paper.

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